

NEDGROUP INVESTMENTS FUNDS PLC APPLICATION FOR SHARES

Important

Before completing this application form you should read the declaration and notes herein. Please use capital letters and complete this form in full.

- Application must be for a minimum USD 4 000 or currency equivalent.
- Minimum additional investment is USD 1 600 or currency equivalent (net of fees).

Section 1 - Personal Details

(We are only able to register individuals over 18 years of age)

	1st Applicant	2nd Applicant	3rd Applicant	4th Applicant
Title				
Surname				
Maiden name/Alias				
Forename(s)				
Date of Birth				
Country of Birth				
Nationality				
Physical Residential Address				
Post Code				
Country				
Correspondence Address				
Post Code				
Country				
Telephone				
H				
W				
Mobile				
Fax Number				
Email Address				
Occupation				
Employer (former employer is retired)				
National ID No				
Passport No				

Section 2 - Investment Details

Fund	Investment Amount (state USD, GBP or EUR)	Or % Allocation
Absolute Growth Fund		
Absolute Balanced Fund		
Bond Fund		
USD Money Market Fund		
GBP Money Market Fund		
Euro Money Market Fund		
Global Money Market Fund		

Total 100%

Shares will be placed on acceptance of this application by the Manager. The price will be determined at the next valuation point following receipt of this application. Please note that for additional investments, the Manager will assume, unless advised in writing to the contrary, that the investment is to be split as per the above allocation.

Section 3 - Source of Wealth

Source of wealth - how were these funds generated? (including source of savings or source of existing investment, where applicable). Please provide evidence.

Savings from: _____

Inheritance - source and when: _____

Sale of Property/Assets - source and when: _____

Other - Please provide details: _____

Source of funds - where are these funds to be remitted from? (please provide details)

Section 4 - Payment Details

I enclose:

Cheque/Bankers Draft payable to Nedgroup Investments Funds

Currency and Amount

or Completed TT Form - (see separate form)

Section 5 - Nominated Bank Account

Please provide your full banking details in the event of redemption of shares request

Account Name
Account Number
Bank Name
Branch Address
Branch Sort Code

Nedgroup Investments (IOM) Limited will remit sales proceeds to the above mentioned account unless advised otherwise.

Section 6 - Signing Mandate

Signatories required to authorise transactions over joint holdings

Any one may sign solely

All to sign jointly

Other

(specify)

(If none of the boxes are ticked, **all** joint holders will be required to sign)

Section 7 - Facsimile, E-mail and Telephone Indemnity

In order to make communicating with us easier, it is possible to have indemnities in place that will allow us to accept certain instructions by either fax, telephone or e-mail. Without an indemnity and for certain other instructions, we will require an original signed instruction from you.

If you choose to make use of the indemnities, we will accept instructions from you by fax, telephone or e-mail to either switch the investments within your portfolio or to make a withdrawal and pay the proceeds to your nominated bank account (section 5).

If you wish to take advantage of the indemnity facility, please tick this box and we will send you the appropriate form to complete. Tick box

If you wish to make a withdrawal to an account other than your nominated bank account, to change the ownership of your portfolio, or appoint a different financial adviser we will require an original instruction from you.

Please forward original instructions to:

Nedgroup Investments, First Floor, Samuel Harris House, St George's Street, Douglas, Isle of Man, IM1 1AJ

Section 8 - Notes

- a) The application may be completed by a duly authorised agent on behalf of the applicant(s). Such person represents and warrants that he/she is duly authorised to sign this form and thereafter redeem shares on behalf of the applicant(s). Such agent submits the Power of Attorney or other authority under which he/she acts with the completed application and identification documents for both the agent and all applicants.
- b) No share certificate will be issued, ownership is evidenced by the Company's register of shareholders.
- c) Fractional shares will be issued to four decimal places.
- d) If more than one applicant signs, then such signatories will be deemed to be joint applicants and joint holders. Applicants should bear in mind that all joint signatories will be required to sign subsequent instructions unless an alternative instruction is given under the signing mandate. In the event of the death of any signatory, the Company will accept the surviving holder(s) signature(s) for any instruction pertaining to the subscription for new shares or the redemption of shares.
- e) If a cheque is returned, all costs will be borne by the applicant(s).
- f) All bank charges incurred in the transfer of funds will be for the account of the applicant(s).
- g) These funds are generally medium to long term investments. The value of units may go down as well as up and past performance is not necessarily a guide to the future. These funds are traded at ruling prices. A schedule of fees and charges and maximum commissions is available on request from the company/scheme. Commission and incentives may be paid and if so, would be included in the overall costs. Funds are priced daily on a forward basis. A prospectus is available on request from the address above or from your broker.

Section 9 - Declaration

1. I/We hereby acknowledge that I/we have received and considered the current Scheme Particulars relating to Nedgroup Investments Funds PLC ('the Company') and that this application is made on the terms thereof and subject to its Memorandum and Articles of Association.
2. I/We hereby irrevocably apply for the shares set out above at a price determined in accordance with the current Scheme Particulars.
3. I/We hereby vest in Nedgroup Investments (IOM) Limited a mandate to manage the Company's investments at its sole discretion in accordance with the Scheme Particulars.
4. I/We hereby indemnify Nedgroup Investments (IOM) Limited against any loss or damage suffered by me/us as a consequence of any depreciation in the value of investments resulting from any decline in market prices.
5. I/We hereby undertake to advise Nedgroup Investments (IOM) Limited in writing of any changes to my/our details contained in the personal details, section 1.
6. I/We warrant that the registration and particulars provided by me/us are true and correct and hereby indemnify Nedgroup Investments (IOM) Limited against all losses or damage suffered in reliance thereon.
7. I/We hereby declare that all statements made by me/us in this application are to the best of my/our knowledge and belief, true and complete.
8. I/We confirm that I am/we are eligible to apply for shares in the Company. I/We have read and understand the brochure, fees and notes.
9. I/We acknowledge that due to money laundering requirements, the Manager and/or the Company will require proof of identity and other information as required from time to time by our regulators and law enforcement agencies, before the application can be processed and the Manager and/or the Company shall be held harmless and indemnified against any loss ensuing due to the failure to process this application, if such information as has been required has not been provided by me/us.
10. Unless instructed to the contrary by me/us, and in the circumstances where I/we have the right to vote in relation to the shares hereby subscribed for, Nedgroup Investments (IOM) Limited will vote in favour of all resolutions proposed at general and class meetings and will not be liable for any consequences so acting.
11. This application must be submitted with the verification of identity requirements as outlined in section 10.

I/We (the 'Data Subject/s') understand and agree that the information supplied by me/ us in connection with this application will become part of the data held by Nedgroup Investments (IOM) Limited (the 'Data Controller') in accordance with the provisions of the Isle of Man's Data Protection Act 2002 (DPA) (or any statutory modification or re-enactment thereof). The information supplied, including Sensitive Personal Data as defined under the DPA will be used by the Controller for the purpose of setting up this Portfolio and its continuing administration. Some or all of the information supplied to the Data Controller may be anonymously used for research and statistical investigation and business analysis.

In signing the declaration below, I/we acknowledge that the company will process this application using the information which has been provided.

The data supplied in this application may be transferred to the Data Controller's associated companies within the Nedbank Group for analysis, management purposes and statutory returns. This information may also be used to maintain management information for business analysis. Data being transferred to any third party mentioned here may be transmitted electronically via e-mail.

Should you object to your personal data being used please write to us at:

Nedgroup Investments, First Floor, Samuel Harris House, St George's Street, Douglas, Isle of Man, IM1 1AJ

Signed at:	<input type="text"/>	(Location)		
Name (1st holder)	<input type="text"/>	Signed	<input type="text"/>	Date <input type="text"/>
Name (2nd holder)	<input type="text"/>	Signed	<input type="text"/>	Date <input type="text"/>
Name (3rd holder)	<input type="text"/>	Signed	<input type="text"/>	Date <input type="text"/>
Name (4th holder)	<input type="text"/>	Signed	<input type="text"/>	Date <input type="text"/>

Section 10 - To be completed by the Business Introducer

- Have you filled in your full residential address(es) for all applicant(s)?
- Have you stated the source of the funds that you are investing and provided evidence?
- Have you stated the source of wealth (the means by which you came by the money you are investing) and provided evidence of this?
- Have you included with your application verification of the physical residential address(es) of all applicants through one of the following recent documents:

- Original or duly certified copy of utility bill
- Original or duly certified copy of bank/credit card statement
- Original or duly certified telephone directory entry
- Written confirmation from approved intermediary/reputable financial institution

(All items must be less than six months old and must reflect client's true physical address, NOT postal address or PO Box).

- Have you included, for all applicant(s), an original certified copy of one of the following?

- A current and valid "full" passport bearing your signature
- A national identity document
- A current full driving licence

(If the original document does not bear your signature, please sign the copy).

Please note that certification will only be accepted if performed by an appropriate individual, for example: lawyer, accountant or commissioner of oaths who should state his/her name, status as a certifier, sign and date the document and specify that it is a true copy of the original.

Business Introducer Information:

1. Name and address or stamp

Broker code

2. Nedgroup Investments Account Manager
(if applicable)

CIF No (SA Introducer only)

3. Initial Fee Information:

Please indicate the initial fee percentage to be applied. Where this is left blank the full initial fee will be applied.

Initial Fee charged to Investor % Retained by Introducer % Retained by Nedgroup Investments %