

1. Entity details

Entity Name			
Date of incorporation	<div><div>D</div><div>D</div><div>M</div><div>M</div><div>Y</div><div>Y</div><div>Y</div><div>Y</div></div>		
Country of incorporation			
Regulator (if applicable)			
Registered address	<div></div> <div></div> <div></div>	Correspondence address (if different)	<div></div> <div></div> <div></div>
Postal code	<div></div>	Postal code	<div></div>
Country of tax residence			

CONTACT PERSON DETAILS

Contact name											
Telephone	<input type="text"/>	<input type="text"/>	(0)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fax	<input type="text"/>	<input type="text"/>	(0)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Contact person email address											

ENTITY SELF-CERTIFICATION

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information and other financial information with respect to an Investor's interests with relevant tax authorities. This Agreement is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this section of the Agreement or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification.

Section A:

[illegible]

OR

The Entity is not a Specified US Person (please tick) ☐ (please also complete sections B, C and D)

OR

The Entity is a US Person but not a specified US Person ☐ (please also complete sections B, C and D)

ENTITY'S FATCA CLASSIFICATION

Section B: Financial Institutions

If the Entity is a Financial Institution please tick one the categories in the table below and provide the Entity's GIIN

1.	Irish Financial Institution or a Partner Jurisdiction Financial Institution	
2.	Registered Deemed Compliant Foreign Financial Institution	
3.	Participating Foreign Financial Institution	

GIIN (Global Intermediary Identification Number).

If the Entity is a Financial Institution but unable to provide a GIIN, please tick one of the following as the reason:

1.	Partner Jurisdiction Financial Institution and has not yet obtained a GIIN	
2.	The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN. Please provide a Sponsor's name and GIIN Name: GIIN:	
3.	Exempt Beneficial Owner	
4.	Certified Deemed Compliant Foreign Financial Institution (including Deemed Compliant Financial Institution under Annex II of the Agreement)	
5.	Non-Participating Foreign Financial Institution	
6.	Excepted Foreign Financial Institution	

II: Non-Financial Institutions

If the Entity is not a Financial Institution, please confirm the Entity's FATCA status below:

- i) The Entity is an Active Non-Financial Foreign Entity (please tick) ☐
- or
- ii) The Entity is a Passive Non-Financial Foreign Entity (please tick) ☐

If the Entity is a Passive Non-Financial Foreign Entity, please complete a separate Individual Self Certification Form in respect of any Controlling Persons

- or
- iii) The Entity is an Excepted Non-Financial Foreign Entity (please tick) ☐

Section C: CRS Declaration of Tax Residency (please note you may choose more than one country)

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

If the entity is not tax resident in any jurisdiction (e.g. because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principle office is located.

Country of Tax Residency	Tax ID Number

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

Section D: Entity's CRS Classification* (The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3):

For more information please see the CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

i) Financial Institutions under CRS:

If the Entity is a Financial Institution, please tick one of the categories below

I.	Financial Institution under CRS (other than (II) below)	
II.	An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity and complete a separate individual self-certification forms for each of your Controlling Persons**)	
	Name:	

ii) Non Financial Institutions under CRS:

If the Entity is a Non Financial Institution, please tick one of the categories below

I.	<i>Active Non-Financial Entity</i> - a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	
II.	<i>Active Non-Financial Entity</i> - a Government Entity or Central Bank	
III.	<i>Active Non-Financial Entity</i> - an International Organisation	
IV.	<i>Active Non-Financial Entity</i> - other than (I)-(III) (for example a start-up NFE or a non-profit NFE)	
V.	<i>Passive Non-Financial Entity</i> (If this box is ticked, please complete a separate Individual Self-Certification Form for each of your Controlling Person(s))	

****Controlling Person's:**

NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For more information on identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Nedgroup Investments is fully committed to protecting the personal data of our clients in accordance with all applicable laws, including the new requirements of the European Union General Data Protection Regulation 2016 ("GDPR"). We respect privacy and will take all steps to maintain trust and confidence when handling your personal information.

As part of this commitment, we have prepared the Data Privacy Notice (the "Notice"), to inform you of your rights as a data subject, together with information on what personal data we process on you and the purposes for which it may be disclosed to third parties.

You can find the Notice, and any future versions, on our website at www.nedgroupinvestments.com. Our Terms of Business have also been amended to reflect the new GDPR requirements.

DECLARATION AND UNDERTAKINGS:

I declare that the information provided in this Agreement is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this Agreement and the information regarding the Account Holder may be reported to the tax authorities of the country in which this account is maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the Company promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Name (Authorised signatory)

Signature

Capacity

Date

Name (Authorised signatory)

Signature

Capacity

Date

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