Nedgroup Investments

Switch redemption for German investors

NEDGROUP INVESTMENTS

1. Client details

Client number

Client name

Cell (Mandatory) + (0)

Email address (Mandatory)

Shares will be redeemed on acceptance of this instruction by the Investment Manager and Distributor or Administrator. The price will be determined at the earliest valuation point following acceptance of this instruction in accordance with the Companies' notice period for subscriptions.

Please be advised that I/we wish to redeem my/our existing investment as follows.

2. Switch details

Switching FROM:

Fund	Class	Shares	Currency / amount **OR**	Percentage allocation
				%
Contrarian Value Equity Fund				
Global Flexible Fund				
Global Cautious Fund				
Global Strategic Bond Fund				

Switching TO:

Minimum investments: $4,000 or currency equivalent additional investments: $1,000

Unless otherwise instructed, the buy side of the switch will switch into the same class of shares as those on the sell side.

Fund	Class	Shares	Currency / amount **OR**	Percentage allocation
				%
Contrarian Value Equity Fund				
Global Flexible Fund				
Global Cautious Fund				
Global Strategic Bond Fund				
		Total	0	0%

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3. Sale of shares details

Under agreement with my/our Financial Adviser please be advised that I/we wish to redeem share from my/our investment to pay an on-going Financial Adviser Fee as follows:

Fund name	Fund currency	Equivalent annual fee
		%
Tick if applies to all holdings ☐		

Frequency of payment?

☐ Quarterly ☐ Half-yearly ☐ Annually

☐ Does VAT apply to these fees? If so percentage? %

Fees will be calculated on a daily accrual basis; shares will be redeemed to the value of fees accrued in the period in arrears. Dealing will take place in accordance with the notice period and settlement terms of the fund(s) in which I/we am/are invested. Deals will be processed with reference to the number of shares held at the time of the calculation until cancelled in writing by me/us.

Where applicable, I hereby authorise the Transfer Agent to pay the proceeds of my redemption to the Nedgroup Investments Client Account from where Nedgroup Investments will transfer the proceeds in accordance with my payment instructions.

4. To be completed by Business Introducer

☐ Have you stated the source of the funds that your client(s) is/are investing and provided evidence?

☐ Have you stated the source of wealth (the means by which your client(s) came by the money they are investing) and provided evidence of this?

Name and address or stamp

Broker code (if applicable)

Nedgroup Investments Account Manager

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Please note:

Once received switches will be processed at the earliest opportunity so that both the sell leg and the buy leg take place on the same dealing day. Checks will also take place to ensure that there are no outstanding requirements and all documentation to allow the subscription of shares has been completed.

I/we hereby apply to subscribe for shares in Nedgroup Investments Funds Plc (incorporated in Ireland as an investment company with variable capit al as a UCITS under registration number 543817. the 'Company'). I/we hereby agree to be bound by the declarations and confirmations and the terms and conditions applicable to holding shares in the Company.

Name
(First holder)

Signature

Date

5. Contact details

Isle of Man - Nedgroup Investments (IOM) Ltd, Client Service Team, First Floor, St Mary's Court, 20 Hill Street, Douglas, Isle of Man, IM1 1EU

Telephone: +44 (0) 1624 645150

Facsimile: +353 1 622 3557

Email: helpdesk@nedgroupinvestments.com

Website: www.nedgroupinvestments.com

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6. Checklist

Switching out of your Nedgroup Investments Funds Plc shares

Stage	Action	Comments
1	Complete the attached switch form.	The client number must be written on the form along with all client names. Indicate either the amount of money, number of shares, or percentage of the shares held you wish to sell next to the relevant share class in the 'Switch From' section of the form.
2	Send the completed form to Nedgroup Investments (IOM) Ltd.	The Switch Form can be e-mailed or faxed to us. E-mail: helpdesk@nedgroupinvestments.com Fax: +353 1 622 3557
3	Nedgroup Investments will action the request at the next relevant dealing date.	Once received switches will be processed at the earliest opportunity so that both the sell leg and the buy leg take place on the same dealing day. Checks will also take place to ensure that there are no outstanding requirements and all documentation to allow the subscription of shares has been completed.
4	Nedgroup Investments will action the request at the next relevant dealing date.	Contract notes will be issued following dealing. The contract notes will be referenced 'Sale' and 'Purchase'.