Switch
Corporate and Institutional

NEDGROUP INVESTMENTS

1. What you need to know

Compliance with the Financial Intelligence Centre Act, 38 of 2001 (FICA) is required to process your instruction, if you have not previously submitted the necessary supporting documentation to us or your authorised financial planner, please do so before completing this form.

1. Before completing this form please read the latest relevant Investment Agreement - which can be obtained from your client relationship team.
2. The daily cut-off for receipt of instructions is 14:00; 12:00 for the Nedgroup Investments Money Market Funds.
3. Information filled in outside of the relevant fields will not be considered when processing your instruction.
4. If you have any questions please contact your client relationship team.

Please indicate your client segment:

- [ ] Institutional or Multi manager, please return the completed form and all supporting documentation via email to NedgroupInvestmentsInstitutional@Silica.net
- [ ] Cash Solutions, please return the completed form and all supporting documentation via email to NedgroupInvestmentsInstitutional@Silica.net

2. Investor details

ENTITY DETAILS

- [ ] South African listed company
- [ ] South African unlisted company
- [ ] Foreign company
- [ ] Retirement fund
- [ ] Investment schemes manager
- [ ] Partnership
- [ ] South African trust
- [ ] Government entity / SOE
- [ ] Medical aid
- [ ] Close corporation
- [ ] Foreign trust
- [ ] Unregulated fund / Association
- [ ] Long-term insurer

Investor number

Registered name

Registration number

INDUSTRY (EXCLUDING TRUSTS)

- [ ] Banks
- [ ] Other non-bank financial institutions
- [ ] Professional, scientific and technical services
- [ ] Administrative and support services
- [ ] Human health, social work and education
- [ ] Transportation and storage
- [ ] Real estate
- [ ] Sport
- [ ] Mining
- [ ] Agriculture, forestry and fishing
- [ ] Government, Municipal services, SOE
- [ ] Public administration, defense and social security
- [ ] Finance companies
- [ ] Public sector finacial corporations
- [ ] Information, technology and communication
- [ ] Accommodation and hospitality services
- [ ] Wholesale and retail trade
- [ ] Arts, entertainment and recreation
- [ ] Craft, trade or artisanal
- [ ] Manufacturing
- [ ] Construction
- [ ] Non-profit / religious
- [ ] Gambling

FOR TRUSTS ONLY - PURPOSE OF TRUST

- [ ] Family trust
- [ ] Business trust
- [ ] Charitable trust
- [ ] Special needs trust

NATURE OF LEGAL ENTITY ACTIVITY

- [ ] Management
- [ ] Executive
- [ ] Marketing
- [ ] Production and supply
- [ ] Customer service
- [ ] Entertainment / hospitality
- [ ] Educational institution
- [ ] Sales
- [ ] Distribution
- [ ] Maintenance
- [ ] Financial services
- [ ] Wholesale and retail
- [ ] Trust management
- [ ] Non-profit organisation

SOURCE OF WEALTH

- [ ] Company profit
- [ ] Donations
- [ ] Investments
- [ ] Contributions

INTENDED INVESTMENT TIMEFRAME

- [ ] Invest for 0 – 3 years
- [ ] Invest for 3 - 5 years
- [ ] Invest for over 5 years

INTENDED PURPOSE OF BUSINESS RELATIONSHIP

- [ ] Invest a single amount with frequent withdrawals
- [ ] Invest multiple amounts with frequent withdrawals
- [ ] Invest a single amount with occasional withdrawals
- [ ] Invest multiple amounts with occasional withdrawals

3. Details of person submitting this instruction

Title and surname

First names

Cell + (0)

Email address

Alternate telephone + (0)

Date of submission

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NEDGROUP INVESTMENTS

4. Switch details

Please note

- Switches can only be processed between existing accounts.
- If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of units, any accrued fees will be paid to the financial planner before the switch is processed.
- The annual financial planner fees, if applicable, and income distribution method of the unit trust portfolio into which you are switching, will apply.
- Please complete this page for each portfolio you wish to switch from.
- You can switch from one into multiple accounts.

Unit trust portfolio switching from

		Please select only one of:	
Unit trust portfolio	**Account number**	**Percentage OUT**	**Rand amount OUT**
		%	

Unit trust portfolio switching into

		Please select only one of:	
Unit trust portfolio	**Account number**	**Percentage IN**	**Rand amount IN**
		%	
	Total (%must equal 100%)		

Security cession declaration

Please confirm whether the unit trust portfolio from which you have requested a switch has been ceded as security. ☐ Yes ☐ No

If yes, please return this form together with a letter from the financial institution to which the investment is ceded, agreeing to your switch request.

5. Investor declaration

I confirm that:

- I am responsible for my investment decisions and have considered whether this instruction is suitable for my needs.
- I am authorised to act on behalf of the investor (if applicable) and I will be personally responsible for this instruction should this not be the case.
- I did not receive advice from Nedgroup Investments about this instruction.
- I understand and agree to the information in the latest relevant Investment Agreement.
- I have read and understood the Portfolio Characteristics document that relates to the unit trust portfolios I am investing in.
- All of the instructions and documents provided by me or on my behalf about this instruction, whether in my handwriting or not, are accurate and complete.
- Nedgroup Investments may only accept instructions from my financial planning business or any authorised third party if I appoint them and authorise this in writing.
- I am aware that I need to inform Nedgroup Investments if any of my details change.

Authorised signatory

Date D D M M Y Y Y Y

Name

Capacity

Authorised signatory

Date D D M M Y Y Y Y

Name

Capacity

Authorised signatory

Date D D M M Y Y Y Y

Name

Capacity