Transfer of Ownership

Corporate and Institutional

NEDGROUP INVESTMENTS

1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document which can be obtained from your Client Relationship team.

2. If you are an existing investor and you would like this investment to be switched into your existing unit trust portfolios after the transfer of ownership has been processed, please attach a completed and signed "Switch - Corporate and Institutional" form with this instruction.

3. We will process your instruction once we have received:
   - A completed and signed transfer of ownership form
   - Where the transferee is a new investor, a completed and signed 'Client Details - New to Nedgroup Investments' form
   - All supporting documents.

4. The daily cut-off for receipt of instruction is 14:00.

5. Information filled in outside of the relevant fields will not be considered when processing your instruction.

6. If you have any questions please contact your Client Relationship team.

Please indicate your client segment:

☐ Institutional ☐ LISP or Multi-manager ☐ Cash Solutions

2. Current investor / transferor details

Investor name	
Investor number	

3. Details of person submitting this instruction

Title and surname

First names

Cell phone number + (0)

Email address

Alternative contact number + (0)

Date of submission D D M M Y Y Y Y

4. Transfer details

Please note:

- This transaction may attract Capital Gains Tax (CGT).

Is this transfer as a result of a section 14 transfer? ☐ Yes ☐ No

If 'Yes', please submit a copy of the FSB approval.

Is this a transfer of 100% of all unit trust portfolios under this investor number? ☐ Yes ☐ No

If you would like to transfer a portion of your investment please complete the below table:

		Please select only one of:		
Unit Trust portfolio	Account number	Percentage	Unit Amount	Rand amount
		%		**R**
	Total	%		R

If transferring the total value from a money market fund, please indicate how the accrued income should be administered:

☐ Be transferred to the new owner

☐ Be paid out into the current investor's bank account

5. New investor / transferee details

Are you an existing Nedgroup Investments investor? ☐ Yes ☐ No

If 'No', please complete investor details below and attach a completed and signed 'Client Details - New to Nedgroup Investments' relevant to your segment form with this instruction

New investor name

Registration number

If 'Yes', and you want this transfer to be added to your existing investment please provide your investor number.

Investor name

Investor number

Please note:

- The unit trust transferred will be invested into a new account number if none is provided. It will remain in the same unit trust portfolio and class as held by the transferor and income distributions will be reinvested
- To ensure that the Transfer out and Transfer in transactions align, no initial financial planning fees may be taken as part of this transaction
- If the unit trusts are in a class that pays a financial planner an annual fee recovered via the sale of units, the fee will be set to zero

Unit Trust portfolio	Account number

5. Investor declaration

I, the undersigned transferor, confirm and certify that:

- I am the owner and /or authorised to act in respect of the units being transferred. I instruct Nedgroup Investments to transfer my rights, title and interest in and to the relevant unit trust portfolios to the transferee
- I am acting on my own account and I made my own independent decision to make this transfer of ownership and, as to whether it is appropriate or proper for me, based upon my own judgement, and upon advice from my appointed financial planning business(if applicable)
- I am not relying on any communication from Nedgroup Investments whether written, oral or implied for investment advice or as a recommendation to make this transfer of ownership
- I have not ceded and have not entered the interest in the unit trust portfolios as indicated herein to any other person and have not entered into any agreement restricting or prohibiting the transfer
- This transaction may attract capital gains tax

We, the undersigned transferor and transferee, confirm and certify that:

- We are responsible for our investment decisions and have considered whether this instruction is suitable for our needs
- We have power and authority to enter into and conclude this transaction
- We understand and agree to the information in the latest relevant Investment Agreement
- We have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios we have invested in
- We have read and understand the contents including the terms and conditions of this form
- We did not receive advice from Nedgroup Investments about this instruction
- All of the information, instructions and documents provided by ourselves about this instruction, whether in our handwriting or not, are accurate and complete. Nedgroup Investments may only accept instructions from our financial planning businesses or any authorised third party if we appoint them and authorise this in writing
- Our appointed financial planning businesses (if applicable), will have access to our investment details via Nedgroup Investments' secure online website

Current investor / transferor signature

Transferor / Authorised signatory 1

Date D D M M Y Y Y Y

Name

Capacity

Transferor / Authorised signatory 2 (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

Transferor / Authorised signatory 3 (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

Transferor / Authorised signatory 3 (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

New investor / transferee signature

Transferee / Authorised signatory 1

Date D D M M Y Y Y Y

Name

Capacity

Transferee / Authorised signatory 2 (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

Transferee / Authorised signatory 3 (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

Transferee / Authorised signatory 4 (if applicable)

Date D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments

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