

Client Compliance Certification

Related Party Addendum

Legal Entity



Please complete only the sections applicable to your related party role. A copy of identification for each newly provided related party must be submitted together with this form.

	1	2	3	4	5
Primary contact person	✓	✓			
Alternate contact persons	✓				
Authorised persons (signatories)	✓	✓	✓		
Associated persons	✓	✓	✓		
Beneficial owners	✓	✓	✓		
Controlling persons	✓	✓	✓	✓	
Where any related party is a legal entity					✓

1. Personal details

Title and surname

First names

SA ID number

Cell phone number + (0)

Email address

Please note:

Please provide proof of identity for any new related persons.

2. Nationality

Passport number (if foreign national)

Passport expiry date Passport country

Country of birth * Place of birth (city or town)

Nationality / citizenship

Do you have more than one nationality / citizenship? ☐ Yes ☐ No

If 'Yes', please provide all your additional nationalities / citizenships

Nationality / citizenship

Nationality / citizenship

Nationality / citizenship

3. Address

Residential address

Code

Country

South Africa

If other

4. Tax information

Only complete for each controlling person if the entity is classified under FATCA / CRS as:

- A passive non-financial entity
- Trustee-documented trust
- An investment entity that is resident in a non-participating jurisdiction and is managed by another financial institution in a participating jurisdiction

Are you a **US citizen**, a **US person** or a **US national**?

Yes

No

Please note:

- If **'Yes'** is selected, please complete an IRS W-9 form in addition to this document
- If you are NOT a United States (US) citizen, a US person or a US national but you have a US physical or postal address, please complete a W-8BEN-E form
- If you were born in the US, including a US territory, or you were a US citizen or US national and you have renounced your US citizenship, you will need to provide a completed IRS W-8BEN-E
- Forms can be found on www.irs.gov – the website of the Internal Revenue Service for the United States

Please declare all your tax obligations, liabilities or residencies in the table below

Country of tax residency	Tax identification number (TIN)	TIN not applicable reason		
		A	B	C

Please note:

If you are unable to provide a TIN number, please indicate the relevant reason:

- Reason A: Your country does not issue TIN's to its tax residents. (This does not apply to US tax residents.)
- Reason B: Your tax authority does not need you to provide a TIN. (This does not apply to US tax residents.)
- Reason C: You have not or did not register for a TIN with your tax authority.

5. Legal entities

For any newly provided related party, please refer to our FICA check list for details of the supporting documentation that must be submitted together with this application for your legal entity type.

Registered name											
Trading name local											
Trading name foreign											
Registration number											
Registered address						Physical business address					
	Code						Code				
Country	South Africa					Country	South Africa				
If other						If other					
Country of incorporation											