

# Phase-in

## Endowment

### Individuals and Legal Entities



This form will only be accepted when submitted with:

- Clients onboarding for first time: ‘Client Details – New to Nedgroup Investments (Individual or Legal Entity)’ and ‘Initial Investment – Endowment’ form
- Clients wishing to invest into a new product: ‘Client Details – Existing (Individual or Legal Entity) Investor’ and ‘Initial or Additional Investment – Endowment’ form.

### 1. Investor details

Investor name

SA ID number / Passport number / Registration number

### 2. Phase-in details

**Please note:**

- Phasing in will commence during the month indicated on this form provided all requirements are received five business days before the 10th. If not, it will commence during the following month
- Phase ins are processed on the 10th of each month (or first business day thereafter)
- Your investment amount will be invested into the Nedgroup Investments Core Income Fund and phased in monthly over the selected period into the unit trust portfolio(s) indicated below
- You may not elect to phase into a unit trust portfolio from which a recurring withdrawal has been requested
- Each amount will be invested proportionately into the unit trust specified below

**Please indicate the investment contract(s) into which this phase-in is to be processed**

| Investment contract number | Amount to phase in |
|----------------------------|--------------------|
|                            |                    |
|                            |                    |
|                            |                    |
|                            |                    |
|                            |                    |
| Total (R)                  | R                  |

Please indicate the initial financial planning fee to be deducted before investing into the Nedgroup Investments Core Income Fund.

% (excl VAT)

Phase-in period

3 months

6 months

Commencement month

M

M

Y

Y

Y

Y

Please indicate the annual financial planning fee to be deducted from the money held in the Nedgroup Investments Core Income Fund pending phase-in (if applicable).

% (excl VAT)

2. Unit Trust portfolio selection

**Please note:**

You may phase in to an existing or new unit trust portfolio.

**For new unit trust portfolios:**

Income distributions will be reinvested (after tax if applicable).

**Financial planning fees (if applicable)**

- If no fees have been specified, 0% will apply
- If a fee higher than the maximum is specified, the maximum will apply
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio. If you have nominated an account from which the annual fee is to be recovered, your selection will apply to your additional investment

**For existing unit trust portfolios**

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable)
- The fees specified will replace the existing fees

|                         |          |      |                       | Only applicable if investing with a Financial Planner |
|-------------------------|----------|------|-----------------------|---|
| Unit Trust portfolio    | Existing | New  | Percentage allocation | Annual fee (excl VAT)                                 |
|                         | ✓        | OR ✓ | %                     | %   |
|                         |          |      |                       |   |
|                         |          |      |                       |   |
|                         |          |      |                       |   |
|                         |          |      |                       |   |
| Total (must equal 100%) |          |      | %                     |   |

Fee account selection (if required)

**Please note:**

- If the funds are depleted in that unit trust portfolio, the fees will be recovered from the original unit trust portfolio

Indicate the unit trust portfolio from which the fee is to be recovered.