

# Phase-in

## Unit Trusts

### Individuals and Legal Entities



This form will only be accepted when submitted with:

- Clients onboarding for first time: 'Client Details – New to Nedgroup Investments (Individual or Legal Entity)' and 'Initial Investment – Unit Trust' form
- Clients wishing to invest into a new product: 'Client Details – Existing (Individual or Legal Entity) Investor' and 'Initial or Additional Investment – Unit Trust' form.

### 1. Investor details

Investor name

SA ID number / Passport number / Registration number

### 2. Phase-in details

Please note:

- Phasing in will commence during the month indicated on this form provided all requirements are received five business days before the 10th. If not, it will commence during the following month
- Phase-ins are processed on the 10th of each month (or first business day thereafter)
- Your investment amount will be invested into the Nedgroup Investments Core Income Fund and phased in monthly over the selected period into the unit trust portfolio(s) indicated below
- You may not elect to phase into a unit trust portfolio from which a recurring withdrawal has been requested

Amount to phase-in

R

Please indicate the initial financial planning fee to be deducted before investing into the Nedgroup Investments Core Income Fund.

% (excl VAT)

Phase-in period

3 months

6 months

Commencement month

M

M

Y

Y

Y

Y

Please indicate the annual financial planning fee to be deducted from the money held in the Nedgroup Investments Core Income Fund pending phase-in (if applicable).

% (excl VAT)

3. Unit Trust portfolio selection

Please note:

You may phase in to an existing or new unit trust portfolio.

For new unit trust portfolios

- If no income distribution option is selected, your distribution will be reinvested. If you select 'payout' as your income distribution option:
  - Distribution amounts will be paid electronically into the investor bank account
  - Distribution amounts will not be paid to third party accounts or by cheque
  - If the payment is rejected, your distribution will be reinvested using the ruling price into the originating unit trust portfolio and your income distribution option will be changed to 'reinvest'

Financial planning fees (if applicable)

- If no fees have been specified, 0% will apply
- If a fee higher than the maximum is specified, the maximum will apply
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio. If you have nominated an account from which the annual fee is to be recovered, your selection will apply to your additional investment

For existing unit trust portfolios

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable)
- The fees specified will replace the existing fees

Only applicable  
if investing  
with a Financial  
Planner

Unit Trust portfolio	Existing	New	Percentage allocation	Income distribution		Annual fee (excl VAT)		
				Reinvest	Payout			
	✓	OR	✓	%	✓	OR	✓	%
Total (must equal 100%)				%				

Fee account selection (if required)

Please note:

- If the funds are depleted in that unit trust portfolio, the fees will be recovered from the original unit trust portfolio

Indicate the unit trust portfolio from which the fee is to be recovered.