

Associated person(s)	<p><b>Company</b></p> <ul style="list-style-type: none"><li>• Chief Executive Officer, including:<ul style="list-style-type: none"><li>• CEO</li><li>• Mayor</li><li>• Director General</li><li>• President of Company</li><li>• Premier</li><li>• Managing Director</li><li>• Principal Officer</li></ul></li></ul> <p><b>Trust</b></p> <ul style="list-style-type: none"><li>• Each Settlor</li><li>• Each Founder</li><li>• Each Donor</li><li>• Each Trustee</li><li>• Each beneficiary</li></ul> <p><b>Partnership</b></p> <ul style="list-style-type: none"><li>• All partners of the partnership</li><li>• Each other legal entity exercising control over the partnership</li></ul> <p><b>Social club, stokvel or an association</b></p> <ul style="list-style-type: none"><li>• All natural persons authorised to manage the affairs of the social club, stokvel or association in terms of the constitution</li></ul> <p><b>Co-operative</b></p> <ul style="list-style-type: none"><li>• The managing/executive director or person(s) in a similar capacity</li></ul> <p><b>Close corporation</b></p> <ul style="list-style-type: none"><li>• All members of the close corporation</li></ul> <p><b>General</b></p> <ul style="list-style-type: none"><li>• Authorised Person</li><li>• Authorised Signatory</li><li>• Beneficial Owner</li><li>• Business Rescue Practitioner</li><li>• Curator</li><li>• Debt Administrator</li><li>• Liquidator</li><li>• Power of Attorney holder</li><li>• Product Beneficiary</li></ul>
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Beneficial Owner	<p>The following persons are Beneficial Owners of the legal entity, <b>each of these individuals are required to complete the Addendum:</b></p> <ul style="list-style-type: none"> <li>Any natural person who, independently or together with another person, has a <b>controlling ownership interest of more than 25%</b>, directly or indirectly, in the legal entity or any of its affiliated entities. Should there be no identifiable natural person within this criterion, then;</li> <li>Any natural person who exercises control of the legal entity through other means e.g., persons exercising control through voting rights. Should there be no identifiable natural person within this criterion, then;</li> <li>Any natural person who exercises control over the management of the legal entity, such as: <ul style="list-style-type: none"> <li>Company = Managing Director</li> <li>Close Corporate = All Members</li> <li>Partnership = All Partners</li> <li>Trust = All trustees, all beneficiaries, the settlor/donor, and the protector(s) (if any)</li> </ul> </li> </ul>
Controlling person	<p>A Controlling person is only required to be identified if one the following Entity Classifications were selected in the ENTITY CLASSIFICATION UNDER FATCA AND CRS section:</p> <ul style="list-style-type: none"> <li>Passive NFE – an entity that earns more than 50% of its gross income from passive income (eg dividends, interest, royalties, annuities and rent)</li> <li>An investment entity that is resident in a non-participating jurisdiction and is managed by another financial institution in a participating jurisdiction.</li> <li>Trustee-documented trust – a trust that has appointed a financial institution in a fiduciary capacity to carry out the day-to-day functions of the trust. The trust can therefore be seen as professionally managed by another financial institution</li> </ul> <p>The following are deemed to be controlling persons:</p> <p><b>Company</b></p> <ul style="list-style-type: none"> <li>Each natural person who directly owns 25% or more of the company's shares</li> <li>Where the company's shares are owned directly by a juristic person(s), each natural person who is the ultimate beneficial holder and indirectly owns 25% or more of the company's shares, as above</li> <li>Each natural person who exercises control (the ability to influence materially the outcome of a vote at a general meeting or appoint or veto the appointment of the directors of the entity) through other means such as personal connections or contractual relationships</li> <li>If, despite reasonable effort, the information required in the above bullet points cannot be determined, then each natural person who holds a senior management position and exercises executive control over the daily or regular affairs to the company, filling the position of chief executive officer, chairman of the board, chief financial officer, chief operating officer or similar positions</li> </ul> <p><b>Trust</b></p> <ul style="list-style-type: none"> <li>Each Settlor</li> <li>Each Founder</li> <li>Each Donor</li> <li>Each Trustee</li> <li>Each beneficiary</li> </ul>

Controlling person	<p><b>Partnerships of Juristic Persons</b></p> <ul style="list-style-type: none"> <li>• Each natural person who is the ultimate beneficial holder of the required percentage, or more of the partnership interest</li> <li>• Each natural person who exercises control through other means for example person connections or contractual relationships</li> <li>• Each natural person who controls the business decisions of the partnership in terms of the partnership agreement</li> </ul> <p><b>Social club, stokvel or an association</b></p> <ul style="list-style-type: none"> <li>• All natural persons authorised to manage the affairs of the social club, stokvel or association in terms of the constitution</li> </ul> <p><b>Co-operative</b></p> <ul style="list-style-type: none"> <li>• The managing/executive director or person(s) in a similar capacity</li> </ul> <p><b>Close corporation</b></p> <ul style="list-style-type: none"> <li>• All members of the close corporation</li> </ul>
Authorised person	<p>Person authorised and/or mandated to act on behalf of the legal entity and sign agreements. Any person who holds authority to act on behalf of the legal entity will automatically be regarded as having transactional authority as well.</p>
Primary contact person	<p>Primary contact person linked to this investment who receive / require access to correspondence.</p> <p><b>Please note</b></p> <ul style="list-style-type: none"> <li>• Contact persons have no authority to act on behalf of the investor.</li> <li>• Correspondence will only be sent electronically</li> <li>• You may only elect one primary contact person</li> </ul>
Authority to transact online	<p>Person authorised and/or mandated to transact on your portfolio. Should this change, you are to immediately notify your Client Relationship team so that their access to our secure site can be terminated.</p> <p><b>Please note</b></p> <ul style="list-style-type: none"> <li>• Any instruction from an authorised person(s) which require any changes to the bank account details that Nedgroup Investments has on record, will not be permitted</li> <li>• Nedgroup Investments is entitled to, and shall, regard all instructions submitted through the login details issued to you and/or the authorised person(s) as actually originating from you</li> </ul>