Financial planner form

Appoint / Terminate / Change

Individuals and Legal Entities

NEDGROUP INVESTMENTS

This form will only be accepted when submitted with a completed and signed '**Client Details – Existing (Individual or Legal Entity) Investor**' form available from our Client Services Centre.

1. Investor details

Investor name

Investor number

SA ID number / Passport number / Registration number

2. Instruction details

Please note:

- If you do not currently have a financial planner and you wish to appoint one, you will need to switch your investment into a class that facilitates the payment of financial planning fees (if your current class does not facilitate this). To do so, please complete and submit a switch form per unit trust portfolio together with this form
- If you are changing your financial planner and you are invested in a unit price class, the annual financial planner fee specified in the portfolio characteristics document will be paid to your new financial planner
- If you are terminating your relationship with the financial planner on record, we will switch your investments into our direct investor classes and stop the payment of financial planner fees
- A change of financial planner will be applied to the investor number specified above across all investment products

Please indicate what you would like to do:

☐ I'd like to terminate my relationship with my current financial planner.

☐ I currently do not have a financial planner and I'd like to appoint one.

☐ I'd like to change my current financial planner.

Please confirm the fees to be paid to your new financial planner:

Account number	Unit trust portfolio	Initial fee (excl VAT)	Annual fee (excl VAT)

3. Financial planner details and declaration

Not applicable if you are terminating your relationship with your financial planner and are not appointing a new financial planner

Name of financial planning business

Name of financial planner

Financial planner code

Contact number + (0)

I confirm that:

- I am authorised by the financial planning business and appropriately licensed in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS), to market and service this investment product and have made the relevant disclosures to the investor
- I have explained the applicable fees, charges and costs relating to this investment, including the Effective Annual Cost (EAC)
- I indemnify and hold Nedgroup Investments harmless from any loss, claim or liability arising from Nedgroup Investments acting on an instruction submitted by me where such claim results from an unauthorised instruction, an invalid mandate, inaccurate information provided by me, or my failure to comply with applicable law
- I understand and agree that the above declarations apply to all subsequent applications and forms that I submit to Nedgroup Investments

Financial planner signature

Date D D M M Y Y Y Y