Financial planner form

Individuals and Legal Entities

NEDGROUP INVESTMENTS

This form will only be accepted when submitted with a completed and signed 'Client Details – Existing (Individual or Legal Entity) Investor' form available from our Client Services Centre.

1. Investor details

Investor name

Investor number

2. Instruction details

Please note:

- If you do not currently have a financial planner and you wish to appoint one, you will need to switch your investment into a class that facilitates the payment of financial planning fees (if your current class does not facilitate this). To do so, please complete and submit a switch form per unit trust portfolio together with this form
- If you are changing your financial planner and you are invested in a unit price class, the annual financial planner fee specified in the portfolio characteristics document will be paid to your new financial planner
- If you are terminating your relationship with the financial planner on record, we will switch your investments into our direct investor classes and stop the payment of financial planner fees
- A change of financial planner will be applied to the investor number specified above across all investment products

Please indicate what you would like to do:

☐ I'd like to terminate my relationship with my current financial planner.

☐ I currently do not have a financial planner and I'd like to appoint one.

☐ I'd like to change my current financial planner.

Please confirm the fees to be paid to your new financial planner:

Account number	Unit trust portfolio	Initial debit order fee (excl VAT)	Annual financial planner fee (excl VAT)

3. Financial planner details and declaration

Name of financial planning business

Name of financial planner

Financial planner code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor
- I have used the Effective Annual Cost calculator and explained all fees and charges that relate to this investment to the investor
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS

Financial planner signature

Date D D M M Y Y Y Y