

Initial Investment

Unit Trust

Individuals and Legal Entities



This form will only be accepted when submitted with:

- Clients onboarding for first time: 'Client Details - New to Nedgroup Investments (Individual or Legal Entity)' form
- Clients wishing to invest into a new product: 'Client Details - Existing (Individual or Legal Entity) Investor' form

1. Investor details

Investor name

SA ID number / Passport number / Registration number

| | | | | | | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
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2. Investment details

Intended purpose of investment

☐

Invest for 0 – 3 years

☐

Invest for 3 – 5 years

☐

Invest for over 5 years

Nature of relationship with Nedgroup Investments

☐

Invest a single amount with frequent withdrawals

☐

Invest multiple amounts with frequent withdrawals

☐

Invest a single amount with occasional withdrawals

☐

Invest a multiple amounts with occasional withdrawals

Total lump sum amount

R

(including phase-in if applicable)

Phase-in

Do you require your investment to be phased in

☐

Yes

☐

No

If 'Yes', please submit the relevant 'Phase-in' form available from our Client Services Centre.

If you elect to phase-in your investment, the investment amount will be invested into the Nedgroup Investments Core Income Fund and phased in monthly over the selected period into the designated unit trust portfolio(s). You may not elect to phase into a unit trust portfolio from which a recurring withdrawal has been requested.

3. Unit Trust portfolio selection

Please note:

- A debit order may not be selected if you request a recurring withdrawal to be processed from the same unit trust portfolio(s) selected for the debit order
- If no income distribution preference is selected, your distribution will be reinvested
- If you select payout as your income distribution option:
 - Distribution amounts will be paid electronically into the bank account provided in the 'Investor bank account details' section
 - Distribution amounts will not be paid to third party accounts or by cheque
 - If the payment is rejected, your distribution will be reinvested using the ruling price into the originating unit trust portfolio and your income distribution option will be changed to 'reinvest'

Financial planning fees (if applicable)

- If no fee is completed, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.
- Initial financial planning fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

| | | | | | Only applicable if investing with a Financial Planner | | |
|----------------------|------------------------------------|--------------------|---------------------|--------|---|-------------|--------------------------|
| Unit Trust portfolio | Lump sum amount (excl phase-in) | Debit order amount | Income distribution | | Initial fee (excl VAT) | | Annual fee (excl VAT) |
| | | | Reinvest | Payout | Lump sum | Debit order | |
| | R | R | ✓ | or ✓ | % | % | % |
| | | | | | | | |
| | | | | | | | |
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| | | | | | | | |
| | | | | | | | |
| Total (R) | R | R | | | | | |

Fee account selection (if required)

Please note:

- If the funds are depleted in that unit trust portfolio, the fees will be recovered from the original unit trust portfolio

Indicate the unit trust portfolio from which the fee is to be recovered.

4. Payment details

Please note:

- We do not accept cash deposits
- We will only process your instruction once we have received proof of payment
- Interest will be earned (at the rate applicable to our bank account) from the first day after the investment amount has been deposited until invested

Lump sum

All lump sum payments must be paid into our bank account via electronic funds transfer (EFT).

Once we accept your investment, we will send you an SMS with our bank account details. Please transfer your investment amount and use your SA ID number (or passport number if Foreign national) as the reference.

5. Debit order details

Please note:

- For your debit order to come into effect on the selected date, your debit order instruction must reach us no later than five business days before the selected date. If all requirements are not met, your debit order instruction will be processed on the selected date in the following month
- Debit order contributions can only be withdrawn 45 days after the investment date

Debit order amount

R

Commencement month

M

M

Y

Y

Y

Y

Collection date

1st

15th

20th

27th

Escalation rate per annum

5%

10%

15%

Other %

6. Recurring withdrawal details

Please note:

- You may not request a recurring withdrawal from the same unit trust portfolio into which a debit order or phase-in is being processed
- A recurring withdrawal may attract Capital Gains Tax (CGT)
- Recurring withdrawal payments will be made to the bank account specified under the 'Investor bank account details' section.
- If the market value of the unit trust portfolio selected falls below R2 500, we will pay the entire balance as the final payment
- Recurring withdrawals will be processed on the start date indicated below and as per your frequency indicated thereafter. The funds may take an additional two days to reflect. Your instruction needs to reach us at least two days before the start date indicated below, alternatively the recurring withdrawal will be processed in the following month
- If the payment date falls on a non-working day, please note that payment will be made on the next business day
- You may ask for a once off withdrawal of part or all of your investment as per the Investment Agreement. You will need to submit a completed withdrawal form for us to process this request

| Unit Trust portfolio (to fund the recurring withdrawal) | Frequency | | Start date (DD/MM//YY) | Rand amount |
|--|-----------|-----------|---------------------------|-------------|
| | Monthly | Quarterly | | |
| | ✓ | or | ✓ | R |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total (R) | | | | |

7. Investor bank account details

It is mandatory to complete this section.

Please note:

- The following bank account details will be used if 'payout' has been selected as your income distribution payment method and for all other withdrawal requests unless notified of new bank account details
- No third-party payments will be processed
- If the bank account used to fund this investment differs from the one below, please provide us with alternate bank account details by completing the 'Third-party funding your investment' form available from our Client Services Centre
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below

| | | | | | | | | | | | | |
|--|--------------------------|----------------------|--------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Name of account holder (as registered with bank) | <input type="text"/> | | | | | | | | | | | |
| Name of bank | <input type="text"/> | | | | | | | | | | | |
| Account number | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Name of branch | <input type="text"/> | | | | | | | | | | Code | <input type="text"/> |
| Account type | <input type="checkbox"/> | Current | <input type="checkbox"/> | Savings | Country | <input type="text"/> | | | | | | |