

Transfer of Ownership

Unit Trust

Individuals and Legal Entities



1. Investor who is transferring their investment (transferor)

Please note:

- This form will only be accepted when submitted with a ‘**Client Details – Existing (Individuals or Legal Entity) Investor**’ form
- This form must be signed by both the transferor and transferee
- This transaction may attract Capital Gains Tax (CGT)

1.1. Transferor details

Investor name

Investor number

SA ID number / Passport number / Registration number

1.2. Transfer details

Is this a transfer to your spouse? Yes No

If ‘**Yes**’, please submit a copy of your marriage certificate.

Please note:

- Only **cleared** amounts can be transferred. The transfer will be processed after the relevant clearance period has lapsed
- If you do not indicate how we should administer current recurring instructions:
 - Existing debit orders will continue
 - Existing recurring withdrawals will continue unless you requested a 100% withdrawal from the unit trust portfolio funding the transfer
 - Phase-ins will continue unless you requested a 100% transfer from the Nedgroup Investment Core Fund funding the phase-in

Unit Trust portfolio	Account number	Please select EITHER a percentage OR a rand amount		Debit order		Recurring withdrawal	
		Percentage	Rand amount	Continue	Cancel	Continue	Cancel
		%	R	✓	OR ✓	✓	OR ✓
Total		%	R				

If transferring from the Nedgroup Investments Money Market Fund, please indicate how the accrued income distribution should be administered:

Be transferred to the new owner

Be paid out into the current investor's bank account

1.3. Security cession declaration

Please confirm whether any of the unit trust portfolios which you wish to transfer have been ceded as security ☐ Yes ☐ No

If 'Yes', please return this form together with a letter from the cedent to which the investment is ceded, agreeing to your transfer request.

1.4. Transferor declaration

I/We, the undersigned transferor, confirm and certify that:

- I/We am the owner and /or authorised to act in respect of the units being transferred. I instruct Nedgroup Investments to transfer my rights, title and interest in and to the relevant unit trust portfolios to the transferee
- I/We am acting on my own account and I made my own independent decision to make this transfer of ownership and, as to whether it is appropriate or proper for me, based upon my own judgement, and upon advice from my appointed financial planning business (if applicable)
- I/We am not relying on any communication from Nedgroup Investments whether written, oral or implied for investment advice or as a recommendation to make this transfer of ownership
- I/We have not ceded and have not entered the interest in the unit trust portfolios as indicated herein to any other person and have not entered into any agreement restricting or prohibiting the transfer
- This transaction may attract capital gains tax

Transferor / Authorised signatory 1		Date	D D M M Y Y Y Y
Name		Capacity	
Transferor / Authorised signatory 2		Date	D D M M Y Y Y Y
Name		Capacity	
Transferor / Authorised signatory 3		Date	D D M M Y Y Y Y
Name		Capacity	
Transferor / Authorised signatory 4		Date	D D M M Y Y Y Y
Name		Capacity	

2. To be completed by the investor receiving the transfer / transferee details

Please note:

- This form must be signed by both the transferor and transferee
- This form will only be accepted when submitted with:
 - If you are a new investor: **'Client Details – New to Nedgroup Investments (Individual or Legal Entity)'** form
 - If you are an existing investor: **'Client Details – Existing (Individual or Legal Entity) Investor'** form

2.1 Transferee details

Existing investor

Existing investor name

Existing investor number

New investor

New investor name

SA ID number / Passport number / Registration number

2.2 Investment details

Intended purpose of investment

☐

Invest for 0 – 3 years

☐

Invest for 3 – 5 years

☐

Invest for over 5 years

Nature of relationship with Nedgroup Investments

☐

Invest a single amount with occasional withdrawals

☐

Invest a multiple amounts with occasional withdrawals

☐

Invest a single amount with frequent withdrawals

☐

Invest multiple amounts with frequent withdrawals

2.3 Unit trust portfolio selection

Please note:

- The unit trusts transferred will be invested in the same portfolio and class as held by the transferor
- To ensure that the Transfer out and Transfer in transactions align, no initial financial planning fees may be taken as part of this transaction
- Income distributions will be reinvested
- If the unit trusts are in a class that pays a financial planner an annual fee recovered via the sale of units, the fee will be set to zero
- If the unit trusts are in a class that pays a fee that is priced into the unit price of the portfolio, it will continue, and the fee will be paid to the financial planner on record
- Should you wish to perform any of the following transaction once the investment has been transferred, please submit the relevant form which can be obtained from our Client Services Centre:
 - Debit order
 - Switch
 - Recurring withdrawal
 - Income distribution method change
 - Financial planner fee change

2.4. Transferee bank account details

If you are new to Nedgroup Investments, it is mandatory to complete this section.

Please note:

- The following bank account details will be used for all withdrawal requests unless notified of new bank account details
- No third-party payments will be processed
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch

Code

Account type

Current

Savings

Country

2.5. Transferee declaration

I/We, the undersigned transferee, confirm and certify that:

- I/ We have read and understand the contents including the terms and conditions of this form
- I/We did not receive advice from Nedgroup Investments about this instruction

Transferee / Authorised signatory 1

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Transferee / Authorised signatory 2

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Transferee / Authorised signatory 3

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Transferee / Authorised signatory 4

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity