

Transfer of Ownership

Unit Trust

Funded by the transfer of ownership between investors

Individuals and Legal Entities



1. Investor who is transferring their investment (transferor)

Please note:

- This form will only be accepted when submitted with a 'Client Details – Existing Investor (Individuals or Legal Entity)' form
- This form must be signed by both the transferor and transferee
- This transaction may attract Capital Gains Tax (CGT)

1.1. Transferor details

Investor name

SA ID number / Passport number / Registration number

Investor number

1.2. Transfer details

Is this a transfer to your spouse? ☐ Yes ☐ No

If 'Yes', please submit a copy of your marriage certificate.

Please note:

- If you do not indicate how we should administer current recurring instructions:
- Existing debit orders will continue
 - Existing recurring withdrawals will continue unless you requested a 100% withdrawal from the unit trust portfolio funding the transfer
 - Phase-ins will continue unless you requested a 100% transfer from the Nedgroup Investment Core Fund funding the phase-in

Unit Trust portfolio	Account number	Please select EITHER a percentage OR a rand amount		Debit order		Recurring withdrawal	
		Percentage	Rand amount	Continue	Cancel	Continue	Cancel
		%	R	✓	OR	✓	
Total		%	R				

If transferring from the Nedgroup Investments Money Market Fund, please indicate how the accrued income distribution should be administered:

☐ Be transferred to the new owner

☐ Be paid out into the current investor's bank account

1.3. Security cession declaration

Please confirm whether any of the unit trust portfolios which you wish to transfer have been ceded as security ☐ Yes ☐ No

If 'Yes', please return this form together with a letter from the cedent to which the investment is ceded, agreeing to your transfer request.

1.4. Transferor declaration

- I, the undersigned transferor, confirm and certify that:
- I am the owner and /or authorised to act in respect of the units being transferred. I instruct Nedgroup Investments to transfer my rights, title and interest in and to the relevant unit trust portfolios to the transferee
 - I am acting on my own account and I made my own independent decision to make this transfer of ownership and, as to whether it is appropriate or proper for me, based upon my own judgement, and upon advice from my appointed financial planning business (if applicable)
 - I am not relying on any communication from Nedgroup Investments whether written, oral or implied for investment advice or as a recommendation to make this transfer of ownership
 - I have not ceded and have not entered the interest in the unit trust portfolios as indicated herein to any other person and have not entered into any agreement restricting or prohibiting the transfer
 - This transaction may attract capital gains tax

Transferor/ Authorised signatory 1

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Transferor / Authorised signatory 2

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Transferor / Authorised signatory 3

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Transferor / Authorised signatory 4

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

2. To be completed by the investor receiving the transfer / transferee details

- Please note:**
- This form must be signed by both the transferor and transferee
 - This form will only be accepted when submitted with:
 - If a new investor: 'Client Details – New to Nedgroup Investments (Individual or Legal Entity)' form
 - If an existing investor: 'Client Details – Existing (Individual or Legal Entity) Investor' form

2.1 Transferee details

Existing investor

Existing investor name

Existing investor number

New investor

New investor name

SA ID number / Passport number / Registration number

2.2 Investment details

Intended purpose of investment

☐ Invest for 0 – 3 years

☐ Invest for 3 – 5 years

☐ Invest for over 5 years

Nature of relationship with Nedgroup Investments

☐ Invest a single amount with frequent withdrawals

☐ Invest multiple amounts with frequent withdrawals

☐ Invest a single amount with occasional withdrawals

☐ Invest a multiple amounts with occasional withdrawals

2.3 Unit trust portfolio selection

Please note:

- The unit trusts transferred will be invested in the same portfolio and class as held by the transferor
- Income distributions will be reinvested
- If the unit trusts are in a class that pays a financial planner an annual fee recovered via the sale of units, the fee will be set to zero
- If the unit trusts are in a class that pays a fee that is priced into the unit price of the portfolio, it will continue, and the fee will be paid to the financial planner on record
- Should you wish to perform any of the following transaction once the investment has been transferred, please submit the relevant form which can be obtained from our Client Services Centre:
 - Debit order
 - Switch
 - Recurring withdrawal
 - Income distribution method change
 - Financial planner fee change

2.4. Transferee declaration

I/We, the undersigned transferee, confirm and certify that:

- I/ We have read and understand the contents including the terms and conditions of this form
- We did not receive advice from Nedgroup Investments about this instruction

Transferee / Authorised signatory 1

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Transferee / Authorised signatory 2

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Transferee / Authorised signatory 3

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Transferee / Authorised signatory 4

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Nedgroup Investments

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Nedgroup Investments Proprietary Limited (Company registration number 1996/017075/07)
Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07)
Nedgroup Investment Advisors Proprietary Limited (Company registration number 1998/017581/07) an authorised
Financial Services Provider (FSP licence number 1652)

Directors: I Ruggiero, NA Andrew, RC Williams

www.nedgroupinvestments.com