

1. What you need to know

1. In order to comply with regulation, you are required to confirm your details periodically even if no changes have occurred. All fields are mandatory. Before completing this form, please read the latest relevant Investment Agreement, which can be accessed from our website: www.nedgroupinvestments.com, your financial planner or our Client Services Centre.

2. Please email your completed and signed instruction to instructions@nedgroupinvestments.co.za. We will process your instruction once:
 - We have received, reviewed, and accepted your completed and signed form
 - We have received all regulatory documentation for the legal entity and all related parties as specified in the application form and the FICA check list
 - We have performed all checks, verifications and assessments required in terms of regulation

3. The daily cut-off for receipt of instructions is 14:00 on any business day.

4. Information filled in outside of the relevant fields will not be considered when processing your instruction.

5. If you have any questions about this form, please contact your Client Relationship team, financial planner or our Client Services Centre on 0800 123 263 (from within SA) or on +27 21 412 2003 (from outside SA).

1. Investor details

Investor number

Please note:

All fields must be completed to process your instruction.

Entity type

☐ South African listed company	☐ Government entity / SOE	☐ Professional Partnership/ Incorporated Company
☐ Public Company	☐ Retirement fund	☐ Higher education institution
☐ Private Company before May 2011	☐ Investment schemes manager	☐ Intervivos Trust created in South Africa
☐ Private Company after May 2011	☐ Medical aid	☐ Intervivos Trust created outside of South Africa
☐ Close corporation	☐ Long-term insurer	☐ Testamentary Trust created in South Africa
☐ Non-profit company	☐ Unregulated fund / Association	☐ Testamentary Trust created outside of South Africa
☐ Foreign company	☐ Partnership	

Entity details

Registered name

Trading name local

Trading name foreign

Registration number

Country of organisation or incorporation

Date of incorporation

D

D

M

M

Y

Y

Y

Y

Contact details

Registered address

Postal address (if different)

Code

Code

Country

South Africa

Country

South Africa

If other

If other

Physical business address

Place of effective management

Country

Code

If other

Country

South Africa

Please note:
Country of effective management is the country in which the most senior persons make key and strategic decisions for the entity.

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October 2025

NEDGROUP INVESTMENTS

3. Income verification and FICA

This information is an anti-money laundering requirement enforced by the Financial Intelligence Centre Act, 38 of 2001 (FICA).

What industry do you operate in (excluding Trusts)?

☐ Accommodation / Hospitality services	☐ Information / Technology / Communication
☐ Administrative / Support services	☐ Manufacturing
☐ Adult entertainment industry	☐ Mining – General
☐ Agriculture / Forestry / Fishing	☐ Mining – Precious and Semi-Precious metals/stones
☐ Art dealers	☐ Money service bureaus
☐ Arts / Entertainment / Recreation	☐ Non-profit / Religious
☐ Banking	☐ Other non-bank financial institutions
☐ Cannabis industry	☐ Pawn brokers
☐ Cash aggregators	☐ Professional / Scientific / Technical services
☐ Construction	☐ Public sector financial corporations
☐ Craft / Trade / Artisanal	☐ Real estate
☐ Cryptocurrency exchanges	☐ Scrap metal merchants
☐ Defence / Social security	☐ Second-hand dealers
☐ Financial / Investment / Insurance activities	☐ Sports
☐ Gambling	☐ Third-party payments
☐ Government / Municipal services / SOE	☐ Transportation / Storage
☐ Human health / Social work / Education	☐ Wholesale / Retail trade

For Trusts only: What is the purpose of the Trust?

☐ Administration and allocation of the assets of an estate	☐ Public Benefit Activities
☐ Asset / Investment ownership (tangible / intangible)	☐ Rehabilitation or Environmental
☐ Body Corporate	☐ Research and Development
☐ Charitable and Educational (for the benefit of class or third-party)	☐ Security Trust
☐ Empowerment Trust	☐ Share incentive scheme
☐ Hedge Fund	☐ Trading / Carrying on of a business
☐ Maintenance of a spouse and/or children	☐ Wealth preservation / Asset protection / Estate plan
☐ Maintenance of disabled persons / minors	☐ Other activity

For Trusts only: Court where the Trust was established

Address of Master of the High Court

Country

South Africa

If other

Nature of legal entity activity

☐ Customer service

☐ Distribution

☐ Educational institution

☐ Entertainment / Hospitality

☐ Financial services

☐ Maintenance

☐ Management

☐ Marketing

☐ Non--profit organisation

☐ Production and supply

☐ Trust management

☐ Sales

☐ Wholesale and retail

What is your source of wealth?

☐ Business proceeds / Company profits / Client contributions

☐ Investments / Maturing investments / Encashment Claim

☐ Share capital

☐ Sale of assets

☐ Property investment portfolio

☐ Legal settlement

☐ Loan

How are you funding your investment contributions?

☐ Business proceeds / Company profit / Client contributions

☐ Donations

☐ Dividend and interest income

☐ Government grants and subsidies

☐ Sale of assets

☐ Rental / Leasing income

☐ Venture capital

☐ Royalties and licence fees

☐ Shareholding in other legal entity

☐ Trading in financial instruments

Intended purpose of investment

Please complete only for the product(s) you are invested in.

Unit trust

☐ Invest for 0 – 3 years

☐ Invest for 3 – 5 years

☐ Invest for over 5 years

☐ Invest a single amount with frequent withdrawals

☐ Invest multiple amounts with frequent withdrawals

☐ Invest a single amount with occasional withdrawals

☐ Invest multiple amounts with occasional withdrawals

Endowment

☐	Invest for over 5 years	☐	Invest a single amount with frequent withdrawals
☐		☐	Invest multiple amounts with frequent withdrawals
☐		☐	Invest a single amount with occasional withdrawals
☐		☐	Invest multiple amounts with occasional withdrawals

4. Tax information

It is mandatory to classify yourself in this section, for guidance please refer to the Legal entities tax residency classification (FATCA and CRS) available from our Client Services Centre or consult your professional tax advisor with respect to the entity's tax residency and any related guidance on the Foreign Account Tax Compliance Act (FATCA) and the Common Reporting Standard (CRS).

The Tax Administration Act 28 of 2011 (adopting aspects of FATCA and CRS for Automatic Exchange of Financial Information) require us to collect certain information about investors' tax arrangements:

- Please complete the section below as directed and provide any additional information that is requested
- Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's investment, with SARS who may in turn share this information with other relevant tax authorities
- If any of the information below changes in the future, please advise us of these changes promptly

Is South Africa the entity's country of primary tax residence?	☐	Yes	☐	No
Is the entity registered to pay tax in South Africa?	☐	Yes	☐	No
If 'Yes', please provide the entity's South African tax number:				
Is the entity VAT registered in South Africa?	☐	Yes	☐	No
If 'Yes', please provide the entity's VAT number:				

Part 1: Certify country of residence for tax purposes

Is the entity incorporated, organised or have a place of effective management outside of South Africa?	☐	Yes	☐	No
Does the entity have tax obligations, tax liabilities or tax residencies outside of South Africa?	☐	Yes	☐	No
Do any of the controlling persons of the entity:				
(a) hold US citizenship, or are they a US person or a US national and/or	☐	Yes	☐	No
(b) have tax obligations, tax liabilities or tax residencies outside of South Africa?	☐	Yes	☐	No

Part 2: Certify United States (US) tax residency

Is the entity incorporated or organised in the US?	☐	Yes	☐	No
Is the entity a trust that a US court would have jurisdiction over or a trust where one or more US person(s) would have the authority to control decisions of the trust?	☐	Yes	☐	No
Does the entity have any tax obligations in the US?	☐	Yes	☐	No

Please note:

- If 'Yes' is selected for any of the questions in Part 2 above, please complete an IRS W-9 form for the entity
- If the entity is NOT incorporated or does NOT have a place of effective management in the United States (US), but has a US physical or postal address, please complete an IRS W-8BEN-E form
- Forms can be found on www.irs.gov – the website of the Internal Revenue Service for the United States

If 'Yes', is selected for any of the questions in Part 1 or Part 2 above, please complete the following section:

Country of tax residency	Tax identification number (TIN)	TIN not applicable reason		
		A	B	C

Please note:

If you are unable to provide a TIN number, please indicate the relevant reason:

Reason A: Your country does not issue TIN's to its tax residents. (This does not apply to US tax residents.)

Reason B: Your tax authority does not need you to provide a TIN. (This does not apply to US tax residents.)

Reason C: You have not or did not register for a TIN with your tax authority.

Withholding tax declaration (if you have an investment in a Unit Trust)

Declaration for exemption from Dividend Withholding Tax in respect of withholding agent tax reference number 9567186847 registered name Nedgroup Collective Investments (RF) Pty Ltd.

☐ I/We declare that the legal entity is a resident for tax purposes in South Africa and therefore Interest withholding Tax (IWT) will not apply to the legal entity's investment and that distributions from real estate investment trust constitute income that needs to be filed in the legal entity's annual tax return as Nedgroup Investments will not withhold tax on its behalf.

☐ We further declare that the legal entity qualifies for the DWT exemption for the following reason:

Please indicate the reason for the exemption by ticking the relevant block

- ☐ A
- A company which is a resident
- ☐ B
- The government, a provincial administration or municipality
- ☐ C
- Public Benefit Organisations (approved in terms of section 30(3) of the Act)
- ☐ D
- Mining rehabilitation trusts (section 37 of the Act)
- ☐ E
- Section 10(1)(cA) persons
- ☐ F
- Section 10(1)(d) funds (e.g. pension funds, provident funds and medical schemes)
- ☐ G
- Section 10(1)(t) persons (e.g. CSIR and SANRAL)
- ☐ H
- Shareholders in a registered micro business (6th Schedule to the Act) (insofar as dividends do not exceed R200,000 per year)
- ☐ I
- A small business funding entity as contemplated in section 10(1)(cQ)
- ☐ J
- Non-residents receiving dividends from foreign companies listed on the Johannesburg Stock Exchange
- ☐ K
- Portfolios of collective investment schemes in securities
- ☐ L
- Any person to the extent that the dividend constitutes income of that person
- ☐ M
- Any person to the extent that the dividend was subject to the STC
- ☐ N
- Fidelity or indemnity funds contemplated in section 10(1)(d)(iii)

☐ I/We declare that the legal entity is not a South African resident for tax purposes and therefore will be subject to the default DWT rate of 20% and default of 15% on local interest.

☐ I/We declare that the legal entity is not a South African resident for tax purposes but there is a double taxation agreement (DTA) in place between South Africa and its country of tax residence.

Please specify the country with which the double taxation agreement exists

5. Entity classification under FATCA and CRS

Please note:

- The entity would be regarded as a financial institution where the entity:
- Has a banking, credit or similar license and is regulated by a financial services oversight body
- Offers any of the following types of accounts: deposit accounts, custodial accounts, cheque accounts, savings accounts, etc
- Primarily exists to hold shares (i.e. the entity is a holding company), including shares of banks, investment entities or custodial entities
- Is a treasury centre that holds shares in banks, investment entities or custodial entities
- Issues cash value insurance or annuity contracts
- Provides clients with investment advice, administration services or management services
- Primarily conducts business or markets itself as an investment entity or similar vehicle (i.e. the entity invests, reinvests or trades in financial assets)
- Is a retirement or pension fund
- Is a fiduciary structure (e.g. trust, foundation, domiciliary company, partnership, etc.) that is professionally managed. (An entity will be regarded to be “managed by an entity” if the entity who manages it has the discretionary authority to manage the first-mention entity’s assets)

Is the entity a financial institution? ☐ Yes ☐ No

If 'Yes', please select one of the following:

Financial institutions				
FATCA		Entity	Description	CRS
South African financial institutional or partner jurisdiction financial institution	☐	*Investment entity	An entity, or an entity that is managed by an entity, that trades in money market instruments or manages individual or collective portfolios or otherwise invests, administers, or manages funds or money on behalf of other persons.	Financial Institution under CRS
	☐	Depository institution	An institution that accepts deposits in the ordinary course of a banking or similar business.	
	☐	Custodial institution	An institution that holds, as a substantial portion of its business (being 20% or more), financial assets for the accounts of others, which relates to safe keeping or record keeping of such assets on behalf of investors. (e.g. CSDP)	
	☐	Insurance company	A specified insurance company that issues, or is obligated to make payments with respect to, a cash value insurance contract or an annuity contract (e.g. life insurance company).	
Non-participating Foreign Financial Institution	☐	Investment entity – non-participating jurisdiction	An investment entity that is resident in a non-participating jurisdiction and is managed by another financial institution in a participating jurisdiction.	A non-participating professionally managed Investment Entity
	☐	Non-participating foreign financial institution	A financial institution that meets the definition of a non-participating foreign financial institution (NPFFI).	Not reportable for CRS

Please provide a Global Intermediary Identification Number (GIIN):

GIIN

If 'No', please select one of the following:

Non-Financial institutions				
FATCA		Entity	Description	CRS
Active non-financial Entity		SA non-profit	A non-profit entity that is registered as a public benefit organisation (PBO) with the South African Revenue Service.	Active non-financial Entity
Active non-financial foreign entity		Entity earning active income	An entity that earns more than 50% of its income from business activities and more than 50% of its assets produce business income and not passive income.	Active non-financial foreign entity
		International non-profit	An international organisation where the income of which does not benefit private persons (e.g., European Union, World Health Organisation or NATO) including any intergovernmental organisation primarily comprised of governments.	
Not reportable for FATCA		Regularly traded	An entity whose shares are regularly traded on an established stock exchange or an entity that is related (e.g. related by ownership greater than 50%) to another entity whose shares are regularly traded on an established stock exchange.	Not reportable for CRS
		Governmental entity	A governmental entity or division (which includes a state, province, county, or municipality) or a public body performing a function of such government.	
		Central bank	A reserve bank.	
		Group financing company	Entities (e.g. related by ownership greater than 50%) that are not financial institutions and does not provide financing to any entity that is not a related entity.	
		Startup trading entity	An entity not yet operating a business and has no prior operating history but is investing capital in assets with the intent of operating a business other than that of a financial institution.	
		Treasury centre	A treasury centre or holding company of a non-financial group whose activities consist of holding shares and providing financing to one or more subsidiaries that engage in businesses other than the business of a financial institution.	
		Liquidated or reorganised	An entity that is in the process of, or has been through, liquidation or reorganization into a business other than that of a financial institution.	
South African financial institutional or partner jurisdiction financial institution		*Trustee-documented trust	A trust that has appointed a financial institution in a fiduciary capacity to carry out the day-to-day functions of the trust. The trust can therefore be seen as professionally managed by another financial institution.	

[illegible]

Please complete the relevant sections of the 'Related party addendum' for each person listed.

7. Investor declaration

I confirm and certify that:

- I am authorised to complete and sign this form on behalf of the legal entity
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively
- I understand and agree that my investment will be governed by the relevant and latest Investment Agreement
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein
- The information contained in this form may be reported to the South African tax authorities

Declaration for the Identification of Related Parties:

- All information and documentation provided to Nedgroup Collective Investments (RF) Pty Ltd (Nedgroup Investments), including the ownership and control structure, contain information that is as accurate and complete as possible, with diligent enquiry having been made to verify its correctness as of the date of declaration
- I/we have identified any natural person that exercises control of the entity through other means (other than shareholding), contractual or voting pool arrangements, personal connections or family groups
- I/we understand that all information provided to Nedgroup Investments is a regulatory requirement in terms of the Financial Intelligence Centre Act (FICA) and the Tax Administration Act and that Nedgroup Investments has the right to terminate the business relationship if it has a reasonable basis to doubt the veracity of the information and/or suspect that information has been wilfully or negligently withheld
- I/we confirm and declare that my/our position or appointment as an authorised person(s) place me/us under a fiduciary duty towards the entity and as such I/we confirm and declare my/our position of knowledge regarding the legal entity's ownership and control structure, and other information and documents supplied to Nedgroup Investments

Investor / Authorised signatory

Authorised signatory 1		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory 2		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory 3		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory 4		Date	D D M M Y Y Y Y
Name		Capacity	

Nedgroup Investments

Nedbank Clocktower Clocktower Precinct V&A Waterfront Cape Town 8001 PO Box 1510 Cape Town 8000 South Africa

Nedgroup Investments Proprietary Limited (Company registration number 1996/017075/07)
Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07)
Nedgroup Investment Advisors Proprietary Limited (Company registration number 1998/017581/07) an authorised
Financial Services Provider (FSP licence number 1652)

Directors: NA Andrew, RC Williams

www.nedgroupinvestments.com