

Client Compliance Certification

Related Party Addendum

Legal Entity



Please complete only the sections applicable to your related party role. A copy of identification for each newly provided related party must be submitted together with this form.

	1	2	3	4	5
Primary contact person	✓	✓			
Alternate contact persons	✓				
Authorised persons (signatories)	✓	✓	✓		
Associated persons	✓	✓	✓		
Beneficial owners	✓	✓	✓		
Controlling persons	✓	✓	✓	✓	
Where any related party is a legal entity					✓

1. Personal details

Title and surname

First names

SA ID number

Cell phone number

+ (0)

Email address

Please note:

Please provide proof of identity for any new related persons.

2. Nationality

Passport number

(if foreign national)

Passport expiry date

D D M M Y Y Y Y

Passport country

Country of birth *

Place of birth
(city or town)

Do you have more than one nationality / citizenship?

☐

Yes

☐

No

Nationality / citizenship 1

Nationality / citizenship 2

Nationality / citizenship 3

3. Address

Residential address		Postal address (if different)	
	Code		Code
Country	South Africa	Country	South Africa
If other		If other	

4. Tax residency

Only complete for each controlling person if the entity is classified under FATCA / CRS as:

- A passive Non-Financial Entity
- Trustee-documented trust
- An investment entity that is resident in a non-participating jurisdiction and is managed by another financial institution in a participating jurisdiction

Are you a **US citizen**, a **US person** or a **US national**? ☐ Yes ☐ No

Please note:

- If 'Yes' is selected, please complete an **IRS W-9 form** in addition to this document
- If you are **NOT** a United States (US) citizen, a US person or a US national but you have a US physical or postal address, please complete a W-8BEN-E form
- If you were born in the US, including a US territory, or you were a US citizen or US national and you have renounced your US citizenship, you will need to provide a completed IRS W-8BEN-E
- Forms can be found on www.irs.gov – the website of the Internal Revenue Service for the United States

Do you have tax obligations, tax liabilities or tax residencies outside of South Africa? ☐ Yes ☐ No

If 'Yes', please complete the following for each country of tax residency:

Country of tax residency	Tax identification number (TIN)	TIN not applicable reason		
		A	B	C
		☐	☐	☐
		☐	☐	☐
		☐	☐	☐
		☐	☐	☐

Please note:

If you are unable to provide a TIN number, please indicate the relevant reason:

- Reason A: Your country does not issue TIN's to its tax residents. (This does not apply to US tax residents.)
- Reason B: Your tax authority does not need you to provide a TIN. (This does not apply to US tax residents.)
- Reason C: You have not or did not register for a TIN with your tax authority.

I undertake to advise Nedgroup Investments promptly and provide an updated self-certification form where any change in circumstances occur which causes any of the information contained in this addendum to be incorrect.

5. Legal entities

For any newly provided related party, please refer to our FICA check list for details of the supporting documentation that must be submitted together with this application for your legal entity type.

Registered name			
Trading name local			
Trading name foreign			
Registration number			
Registered address		Postal address (if different)	
	Code		Code
Country	South Africa	Country	South Africa
If other		If other	
Physical business address			
		Code	
Country of incorporation			