Change of details

Income and FICA information

Corporate and Institutional

NEDGROUP INVESTMENTS

This form will only be accepted when submitted with a completed and signed '**Client Details – Existing Institutional investor**' form available from our Client Services Centre.

1. Investor details

Investor name	
Investor number	
Registration number	

2. Income verification and FICA

This information is an anti-money laundering requirement enforced by the Financial Intelligence Centre Act, 38 of 2001 (FICA).

What industry do you operate in (excluding Trusts)?

- [ ] Accommodation / Hospitality services
- [ ] Administrative / Support services
- [ ] Adult entertainment industry
- [ ] Agriculture / Forestry / Fishing
- [ ] Art dealers
- [ ] Arts / Entertainment / Recreation
- [ ] Banking
- [ ] Cannabis industry
- [ ] Cash aggregators
- [ ] Construction
- [ ] Craft / Trade / Artisanal
- [ ] Cryptocurrency exchanges
- [ ] Defence / Social security
- [ ] Financial / Investment / Insurance activities
- [ ] Gambling
- [ ] Government / Municipal services / SOE
- [ ] Human health / Social work / Education
- [ ] Information / Technology / Communication
- [ ] Manufacturing
- [ ] Mining – General
- [ ] Mining – Precious and Semi-Precious metals/stones
- [ ] Money service bureaus
- [ ] Non-profit / Religious
- [ ] Other non-bank financial institutions
- [ ] Pawn brokers
- [ ] Professional / Scientific / Technical services
- [ ] Public sector financial corporations
- [ ] Real estate
- [ ] Scrap metal merchants
- [ ] Second-hand dealers
- [ ] Sports
- [ ] Third-party payments
- [ ] Transportation / Storage
- [ ] Wholesale / Retail trade

Nature of legal entity activity

- [ ] Customer service
- [ ] Distribution
- [ ] Educational institution
- [ ] Entertainment / Hospitality
- [ ] Financial services
- [ ] Maintenance
- [ ] Management
- [ ] Marketing
- [ ] Non-profit organisation
- [ ] Production and supply
- [ ] Trust management
- [ ] Sales
- [ ] Wholesale and retail

What is your source of wealth?

- [ ] Business proceeds / Company profits / Client contributions
- [ ] Investments / Maturing investments / Encashment Claim
- [ ] Share capital
- [ ] Sale of assets
- [ ] Property investment portfolio
- [ ] Legal settlement
- [ ] Loan

How are you funding your investment contributions?

- [ ] Business proceeds / Company profit / Client contributions
- [ ] Donations
- [ ] Dividend and interest income
- [ ] Government grants and subsidies
- [ ] Sale of assets
- [ ] Rental / Leasing income
- [ ] Venture capital
- [ ] Royalties and licence fees
- [ ] Shareholding in other legal entity
- [ ] Trading in financial instruments

For Trusts only:

What is the purpose of the Trust?

- [ ] Administration and allocation of the assets of an estate
- [ ] Asset / Investment ownership (tangible / intangible)
- [ ] Body Corporate
- [ ] Charitable and Educational (for the benefit of class or third-party)
- [ ] Empowerment Trust
- [ ] Hedge Fund
- [ ] Maintenance of a spouse and/or children
- [ ] Maintenance of disabled persons / minors
- [ ] Public Benefit Activities
- [ ] Rehabilitation or Environmental
- [ ] Research and Development
- [ ] Security Trust
- [ ] Share incentive scheme
- [ ] Trading / Carrying on of a business
- [ ] Wealth preservation / Asset protection / Estate plan
- [ ] Other activity

Court where the Trust was established

Address of Master of the High Court: ____________________

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Country: South Africa

If other: ____________________