Client Details - Existing Investors

Unit Trusts

Corporate and Institutional

NEDGROUP INVESTMENTS

1. What you need to know

Please submit this form together with the relevant change of detail instructions.

1. Before completing this form, please read the latest Investment Agreement, Prospectus, Portfolio Characteristics document and Minimum Disclosure Document, which can be obtained from your Client Relationship team. To understand the charges that may be incurred when investing, please use the Effective Annual Cost calculator.
2. Please email the completed and signed form together with the necessary addendum and relevant supporting documentation to NedgroupInvestmentsInstitutional@silica.net and copy your Client Relationship team.
3. We will process your instruction once:
   - We have received, reviewed and accepted your completed and signed form
   - We have received all regulatory documentation for the legal entity and all related parties as specified in the application form and the FICA checklist
   - We have performed all checks, verifications and assessments required in terms of regulation

   Your investment account may take up to 48 hours to create.
4. Information filled in outside of the relevant fields will not be considered when processing your instruction.
5. If you have any questions, please contact your Client Relationship team.

2. Investor details

Investor name

Investor number

3. Details of person submitting this instruction

Title and surname

First names

Cell phone number (mandatory) + (0)

Email address

Alternative contact number + (0)

Date of submission D D M M Y Y Y Y

4. Income verification and FICA

Has any of the following information changed since the last time you transacted? ☐ Yes ☐ No

- The industry you operate in (excluding Trusts)
- Nature of legal entity activity
- Source of Wealth
- How you are funding investment contributions
- Trusts only - Purpose of Trust

If **'Yes'**, please complete and submit a '**Change of details - Income and FICA Information - Legal Entities**' form.

5. Tax information

Has any of your tax information changed since the last time you transacted? ☐ Yes ☐ No

If **'Yes'**, please complete and submit a '**Change of details - Tax information - Legal Entities**' form.

Please ensure your DWT exemption has been captured if relevant, your declaration needs to be updated every five years, if not updated it will be removed.

6. Related parties

Have any of the following related parties changed since the last time you transacted? ☐ Yes ☐ No

- Primary contact person
- Alternate contact person(s)
- Persons with online access
- Authorised person(s)
- Persons authorised to transact
- Associated persons
- Beneficial owners
- Controlling persons

If **'Yes'**, please complete and submit a '**Change of details - Related parties - Institutional Legal Entities**' form.

7. Investor declaration

I/We confirm that:

- I/We am authorised to open and manage this investment on behalf of the legal entity
- I/We have the necessary authority and knowledge to provide the information and documentation relating to the legal entity
- I/We have considered whether this investment is appropriate for the legal entity's needs and circumstances and understand that I/we are responsible for the investment decisions made
- I/We understand and agree that this application is governed by the latest applicable Investment Agreement and confirm that I/we have read and understood the relevant Prospectus, Portfolio Characteristics Document and Minimum Disclosure Document relating to the selected Unit Trust portfolios
- I/We understand the fees, charges and costs applicable to this investment, including the Effective Annual Cost (EAC)
- I/We authorise Nedgroup Investments to act on this application and on any related documents or instructions submitted electronically or otherwise
- I/We acknowledge that Nedgroup Investments may rely on the information and instructions provided by persons authorised to act on behalf of the legal entity
- I/We acknowledge that information relating to this investment may be disclosed to regulators, tax authorities or other parties where required by law
- I/We understand and agree that these declarations apply to all future applications, transactions and forms submitted to Nedgroup Investments
- I/we understand that all information provided to Nedgroup Investments is a regulatory requirement in terms of the Financial Intelligence Centre Act (FICA) and the Tax Administration Act and that Nedgroup Investments has the right to terminate the business relationship if it has a reasonable basis to doubt the veracity of the information and/or suspect that information has been wilfully or negligently withheld.

Identification of Related Parties, I/we confirm that:

- All information and documentation provided to Nedgroup Collective Investments (RF) Pty Ltd (Nedgroup Investments), including the ownership and control structure, contained information that is as accurate and complete as possible, with diligent enquiry having been made to verify its correctness as of the date of declaration.
- I/we have identified any natural person that exercises control of the entity through other means (other than shareholding), contractual or voting pool arrangements, personal connections or family groups.
- I/We confirm that I/we are duly authorised by the legal entity to identify and provide information relating to its controlling persons, including completing and signing any self-certification required in respect of such controlling persons for the purposes of applicable tax and regulatory requirements.
- I/We confirm that, where I/we provide personal information relating to any individual (including controlling persons, beneficial owners, authorised persons or any other natural person), I/we have obtained all necessary consents and/or have the necessary authority to provide such personal information to Nedgroup Investments for the purposes of establishing and administering the investment and complying with applicable legal and regulatory requirements.
- I/we confirm and declare that my/our position or appointment as authorised person(s) place me/us under a fiduciary duty towards the entity and as such I/we confirm and declare my/our position of knowledge regarding the legal entity's ownership and control structure, and other information and documents supplied to Nedgroup Investments.

Where a financial planner is appointed, I/we further confirm that:

- The financial planner and/or financial planning business recorded on this application is my/our appointed financial planner
- I/We authorise Nedgroup Investments to deduct and pay agreed financial planner fees from this investment, where applicable
- I/We consent to the appointed financial planning business accessing information relating to this investment for servicing purposes

Online access authorisation, I/we further authorise and acknowledge that:

- Nedgroup Investments may provide the authorised person(s) with online access to investor information through the Nedgroup Investments secure website.
- Such access will be governed by the secure website user agreement and the latest applicable Investment Agreement.
- I/We indemnify and hold Nedgroup Investments harmless against any direct or indirect loss, claim, liability or damage arising from the online access granted to authorised persons in terms of this authorisation.

I/We further authorise the individuals detailed in this form to transact online:

- I/We authorise Nedgroup Investments to act on instructions received from the authorised person(s) via the Nedgroup Investments secure website
- I/We understand and agree that all instructions submitted will be governed by the secure website user agreement and the latest applicable Investment Agreement.
- I/We acknowledge and agree that Nedgroup Investments will not be held liable for acting on, any instructions submitted through the login details issued to you and/or the authorised person(s) via the secure website and I/we indemnify and hold Nedgroup Investments harmless from all direct or indirect claims (including claims for consequential damages) in this regard.

Authorised signatory 1 | Date D D M M Y Y Y Y

Name | Capacity

Authorised signatory 2 | Date D D M M Y Y Y Y

Name | Capacity

Nedgroup Investments
Nedbank Clocktower Clocktower Precinct V&A Waterfront Cape Town 8001 PO Box 1510 Cape Town 8000 South Africa

Nedgroup Investments Proprietary Limited (Company registration number 1996/017075/07)
Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07)
Nedgroup Investment Advisors Proprietary Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP licence number 1652)

Directors: NA Andrew, RC Williams

www.nedgroupinvestments.com