

Notice of Security Cession

Unit Trusts

Corporate and Institutional



1. What you need to know

1. Before completing this form, please read the latest relevant Investment Agreement which can be obtained from your Client Relationship team.
2. The daily cut-off for receipt of instructions is 14:00 on any business day.
3. Information filled in outside of the relevant fields will not be considered when processing your instruction.
4. If you have any questions, please contact your Client Relationship team.
5. Please email the completed form and all supporting documents via email to NedgroupInvestmentsInstitutional@Silica.net

Please indicate your client segment:

☐

Institutional

☐

LISP or Multi-manager

☐

Cash Solutions

2. Cedent details

Registered name

Investor number

3. Details of person submitting this instruction

Title and surname

First names

Cell phone number +

(0)

Email address

Alternative contact number +

(0)

Date of submission

4. Cessionary details

Individuals

Title and surname	<input type="text"/>
First names	<input type="text"/>
SA ID number	<input type="text"/>
Passport number (if foreign national)	<input type="text"/>

Legal entities

Registered name	<input type="text"/>
Registration number	<input type="text"/>
Contact person	<input type="text"/>

Contact details

Cell phone number (mandatory)	+	<input type="text"/>	(0)	<input type="text"/>
Email address (mandatory)	<input type="text"/>			
Alternative contact number	+	<input type="text"/>	(0)	<input type="text"/>
Residential address	<input type="text"/>	Postal address (if different)	<input type="text"/>	
	<input type="text"/>		<input type="text"/>	
	<input type="text"/>		<input type="text"/>	
	Code	<input type="text"/>	Code	<input type="text"/>
Country	South Africa	Country	South Africa	
If other	<input type="text"/>	If other	<input type="text"/>	

5. Investment to which cession applies

The parties to this cession request Nedgroup Investments to note a security cession against the investment accounts detailed below.

Unit Trust portfolio	Account number	Number of units on which cession is to be noted

6. Cession declaration and signatories

I/We, acknowledge that:

- This form serves as a request for Nedgroup Investments to note a cession on the investment account(s) as detailed herein
- In the event that the cessionary request that the ceded units be withdrawn, Nedgroup Investments is obliged to sell the ceded units and pay the cessionary
- Nedgroup Investments is not required to notify the cedent or to get the consent of the cedent
- Nedgroup Investments cannot guarantee the value of the units which may fluctuate from time to time

Cedent signature

Cedent / Authorised signatory 1

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Cedent / Authorised signatory 2

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Cedent / Authorised signatory 3

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Cedent / Authorised signatory 4

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Cessionary signature

Cessionary / Authorised signatory 1

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Cessionary / Authorised signatory 2

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Cessionary / Authorised signatory 3

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Cessionary / Authorised signatory 4

Date

D

D

M

M

Y

Y

Y

Y

Name

Capacity

Nedgroup Investments

Nedbank Clocktower Clocktower Precinct V&A Waterfront Cape Town 8001 PO Box 1510 Cape Town 8000 South Africa

Nedgroup Investments Proprietary Limited (Company registration number 1996/017075/07)
Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07)
Nedgroup Investment Advisors Proprietary Limited (Company registration number 1998/017581/07) an authorised
Financial Services Provider (FSP licence number 1652)

Directors: I Ruggiero, NA Andrew, RC Williams

www.nedgroupinvestments.com