Death claim instruction

Unit Trust and Tax-Free Investment

NEDGROUP INVESTMENTS

1. What you need to know

1. Please reference the 'Guide to submitting a death claim' process and checklist for the supporting documents required to be submitted together with this form. Please email this form together with all required supporting documents to estates@nedgroupinvestments.co.za.
2. Nedgroup Investments will take instruction from the executor or person appointed to deal with the deceased's estate.
3. Withdrawals will only be paid into the estate late bank account.
4. If you have any questions, please contact our Client Services Centre on 0800 123 263 (from within SA) or on +27 21 412 2003 (from outside SA).

2. Details of deceased investor

Investor name

Investor number

3. Withdrawal instruction

Full withdrawal

Is this a full withdrawal across all Unit Trust portfolios? ☐ Yes ☐ No

If 'No', please complete the partial withdrawal section below.

Partial withdrawal

If you require a partial withdrawal, please complete the table below.

Unit Trust portfolio	Account number	Please select EITHER a percentage OR a rand amount	
		Percentage	Rand amount
		% OR	R
	Total	%	R

4. Estate late bank account

Please note:
- It is mandatory to complete this section
- Please provide proof of bank account

Name of account holder (as registered with bank)

SA ID number / Passport number / Registration number (as registered with bank)

Name of bank

Account number

Name of branch

Code

Account type ☐ Current ☐ Savings Country

5. Unit Trust only: Transfer of ownership instruction

Please note:
- To process a transfer of ownership, this form must be submitted with:
  - If transferee is an existing investor: 'Client Details – Existing Individual Investor' form
  - If transferee is a new investor: 'Client Details – New to Nedgroup Investments Individual' form
- For more than one transfer, please submit a separate form for each transferee
- This transaction may attract Capital Gains Tax (CGT)
- If transferring from the Nedgroup Investments Money Market Fund, any accrued income distribution will be transferred to the transferee
- The units transferred will be invested in the same portfolio and class as held by the deceased investor
- Income distributions will be reinvested.
- Should you wish to perform any of the following transaction once the investment has been transferred, please submit the relevant form which can be obtained from our Client Services Centre:
  - Debit order
  - Switch
  - Recurring withdrawal
  - Income distribution method change
  - Financial planner fee change

5.1 Transferee details

Existing transferee investor

Existing investor name

Existing investor number

New transferee investor

New investor name

SA ID number / Passport number / Registration number

5.2 Transfer details

Is this a transfer to the deceased's spouse? ☐ Yes ☐ No

If 'Yes', please submit a copy of the marriage certificate.

		Please select EITHER a percentage OR a rand amount	
Unit Trust portfolio	Account number	Percentage	Rand amount
		%	R
	Total	%	R

5.3 Transferee bank account details

If the transferee is new to Nedgroup Investments, it is mandatory to complete this section.

Please note:

- The following bank account details will be used for all withdrawal requests unless notified of new bank account details
- No third-party payments will be processed
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch

Code

Account type ☐ Current ☐ Savings Country

5.4 Transferee investment details

Intended purpose of investment

☐ Invest for 0 – 3 years ☐ Invest for 3 – 5 years ☐ Invest for over 5 years

Nature of relationship with Nedgroup Investments

☐ Invest a single amount with frequent withdrawals

☐ Invest multiple amounts with frequent withdrawals

☐ Invest a single amount with occasional withdrawals

☐ Invest multiple amounts with occasional withdrawals

5.5 Transferee declaration

I, the undersigned transferee, confirm and certify that:

- I have read and understand the contents including the terms and conditions of this form
- I did not receive advice from Nedgroup Investments about this instruction

Transferee / Authorised signatory 1		Date	D D M M Y Y Y Y
Name		Capacity	

6. Unit Trust only: Security cession declaration

Please confirm whether any of the Unit Trust portfolio(s) from which you have requested a withdrawal or transfer have been ceded as security ☐ Yes ☐ No

If 'Yes', please return this form together with a letter from the cedent to which the investment is ceded, agreeing to your withdrawal request.

7. Declaration and signature

I confirm and certify that:

- The information I have completed in this form is to the best of my knowledge true and correct
- I understand that further information may be requested, and that the information provided may be corroborated with third parties

Authorised signatory 1		Date	D D M M Y Y Y Y
Full name		Capacity	
Authorised signatory 2		Date	D D M M Y Y Y Y
Full name		Capacity	
Authorised signatory 3		Date	D D M M Y Y Y Y
Full name		Capacity	

Nedgroup Investments

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