

Nedgroup Investments International

Client details update form



1. Client details

First holder

Client number																		
Title		Surname																
Initials		First names																
Former / maiden name(s)																		
National ID number											Nationality							
OR																		
Passport number											Occupation							
OR																		
Driving license number											Date of birth							
Town of birth											Country of birth							
Employer (former employer if retired)																		
Residential address																		
Country																		
Postal code																		
Correspondence address (if different)																		
Country																		
Postal code																		
Telephone (home)	+			(0)														
Telephone (work)	+			(0)														
Mobile	+			(0)														
Email address																		

Initial here

First holder: self certification – for FATCA and CRS

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information and other financial information with respect to an Investor's interests with relevant tax authorities. This Agreement is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this section of the Agreement or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note: That where there are joint account holders each investor is required to complete a separate Self-Certification.

Please complete sections A and B below:

Section A:

I certify that I am a US Citizen and/or resident in the US for tax purposes (please tick) ☐

My US Tax Identification Number (TIN) is:

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OR

I certify that I am NOT a US Citizen or resident in the US for tax purposes (please tick) ☐

Section B: CRS Declaration of Tax Residency (please note you may choose more than one country)

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

Country of tax residency	Tax ID number

Please note: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

Declaration and undertakings: first holder

I agree that the personal information contained in this application form together with any other personal information furnished in connection with the investment in the Company/ies (the "Information") will be used by the Company/ies for the purposes of processing this application form, managing and administering any of the services provided in relation to the investment in the Company/ies, registration and transfer agency services provided to the Company/ies, data storage and maintenance, for the prevention of money laundering, financing of terrorism or fraud, and compliance with any legal and regulatory obligations (including statutory reporting obligations to the Central Bank of Ireland, the Irish Revenue Commissioners or other relevant regulators). I/We agree that the Information may also be disclosed to third parties including, but not limited to, the Administrator, the Manager, Investment Manager and Distributor, Depositary, Registrar and Transfer Agent and/or their respective delegates and agents for the purposes of providing services to the Company/ies in relation to the investment pursuant to their respective agreements with the Company/ies and, where necessary or for legitimate business interests, to auditors, the Central Bank of Ireland, the Irish Revenue Commissioners or other relevant regulators.

Initial here

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I note that, in connection with the above purposes, the information may be transferred to countries outside the European Economic Area ("EEA") (including India) that may not have data protection laws or have data protection laws that do not provide the same level of protection as EU data protection law. Such transfer will only be carried out for the purposes described above or as otherwise required by law or regulation, and in accordance with applicable data protection legislation.

By signing this application form, I/we consent (to the required) to the processing of the Information relating to me/us, including the transfer of the information outside the EEA, in the manner outlined above. To the extent that the Information relates to another individual, I/we warrant that I/we have been authorised by that individual to provide the information to the Company/ies and where necessary to consent on that individual's behalf to the use of the Information as relates to that individual, including the transfer of the information outside the EEA, in the manner outlined above.

I acknowledge that my/our relevant data will be retained by all relevant parties for a minimum period of six years from the date of the full redemption of all shares held in the Company/ies.

I note that we have the right to request a copy of any personal data held about me/us and I/we have the right to have any inaccuracies in the data corrected.

I declare that the information provided in this Agreement is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this Agreement and the information regarding the Account Holder may be reported to the tax authorities of the country in which this account is maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the Companies promptly and provide an updated Self-Certification Form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Signature Date

D	D	M	M	Y	Y	Y	Y
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Second holder (if applicable)

Title Surname

First and middle name(s)

Former / maiden name(s)

National ID number

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 Nationality

OR

Passport number

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 Occupation

OR

Driving licence number

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 Date of birth

D	D	M	M	Y	Y	Y	Y
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Town of birth Country of birth

Employer
(former employer if retired)

Residential
address

Country

Postal code

Correspondence
address
(if different)

Country

Initial here

Postal code

Telephone (cell) + (0)

Telephone (landline) + (0)

Telephone (work) + (0)

Email address

If there are more than two investors please copy and complete this section.

Second holder: self certification – for FATCA and CRS

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information and other financial information with respect to an Investor's interests with relevant tax authorities. This Agreement is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this section of the Agreement or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

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Please complete sections A and B below:

Section A:

I certify that I am a US Citizen and/or resident in the US for tax purposes (please tick) ☐

My US Tax Identification Number (TIN) is:

OR

I certify that I am NOT a US Citizen or resident in the US for tax purposes (please tick) ☐

Section B: CRS Declaration of Tax Residency (please note you may choose more than one country)

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

Country of tax residency	Tax ID number

Please note: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

Initial here

Declaration and undertakings: second holder

I agree that the personal information contained in this application form together with any other personal information furnished in connection with the investment in the Company/ies (the "Information") will be used by the Company/ies for the purposes of processing this application form, managing and administering any of the services provided in relation to the investment in the Company/ies, registration and transfer agency services provided to the Company/ies, data storage and maintenance, for the prevention of money laundering, financing of terrorism or fraud, and compliance with any legal and regulatory obligations (including statutory reporting obligations to the Central Bank of Ireland, the Irish Revenue Commissioners or other relevant regulators). I/We agree that the Information may also be disclosed to third parties including, but not limited to, the Administrator, the Manager, Investment Manager and Distributor, Depositary, Registrar and Transfer Agent and/or their respective delegates and agents for the purposes of providing services to the Company/ies in relation to the investment pursuant to their respective agreements with the Company/ies and, where necessary or for legitimate business interests, to auditors, the Central Bank of Ireland, the Irish Revenue Commissioners or other relevant regulators.

I note that, in connection with the above purposes, the information may be transferred to countries outside the European Economic Area ("EEA") (including India) that may not have data protection laws or have data protection laws that do not provide the same level of protection as EU data protection law. Such transfer will only be carried out for the purposes described above or as otherwise required by law or regulation, and in accordance with applicable data protection legislation.

By signing this application form, I/we consent (to the required) to the processing of the Information relating to me/us, including the transfer of the information outside the EEA, in the manner outlined above. To the extent that the Information relates to another individual, I/we warrant that I/we have been authorised by that individual to provide the information to the Company/ies and where necessary to consent on that individual's behalf to the use of the Information as relates to that individual, including the transfer of the information outside the EEA, in the manner outlined above.

I acknowledge that my/our relevant data will be retained by all relevant parties for a minimum period of six years from the date of the full redemption of all shares held in the Company/ies.

I note that we have the right to request a copy of any personal data held about me/us and I/we have the right to have any inaccuracies in the data corrected.

I declare that the information provided in this Agreement is, to the best of my knowledge and belief, accurate and complete.

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I undertake to advise the Companies promptly and provide an updated Self-Certification Form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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2. Contact details

Isle of Man - Nedgroup Investments (IOM) Ltd, Client Service Team, First Floor, St Mary's Court, 20 Hill Street, Douglas, Isle of Man, IM1 1EU

Telephone: +44 (0) 1624 645150 Toll free line 0800 999 160 (from SA only)

Facsimile: +353 1 622 3557

Email: helpdesk@nedgroupinvestments.com

Website: www.nedgroupinvestments.com

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Switzerland: The Representative is Acolin Fund Services AG, Maintower, Thurgauerstrasse 36/38, 8050 Zurich, Switzerland, whilst the Paying agent is Banque Heritage SA, Route de Chêne 61, CH-1211 Geneva 6. Nedgroup Investments (IOM) Limited is affiliated to the Swiss ombudsman: Verein Ombudsstelle Finanzdienstleister (OFD), Bleicherweg 10, CH-8002 Zurich.

Germany: The Fund's Facilities agent in Germany is Acolin Europe AG, with the registered office at Line-Eid-Strasse 6, 78467 Konstanz.

U.K: Nedgroup Investments (UK) Limited (reg no 2627187), authorised and regulated by the Financial Conduct Authority, is the facilities agent. The Fund and certain of its sub-funds are recognised in accordance with Section 264 of the Financial Services and Markets Act 2000.

Isle of Man: Nedgroup Investments (IOM) Ltd (Reg no. 57917C) is licensed by the Isle of Man Financial Services Authority, the Investment Manager and Distributor of the fund. The Companies are managed by Carne Global Fund Managers (Ireland) Limited (the Manager). The Manager is authorised and regulated by the Central Bank of Ireland. The Fund has been recognised under para 1 sch 4 of the Collective Investments Schemes Act 2008 of the Isle of Man. Isle of Man investors are not protected by statutory compensation arrangements in respect of the Fund.