

Investor details

This form should be completed by any party/ies who have authority over the following account(s).

1. Investor details

Client number

Client name
(the investor)

Cell (Mandatory)

+

(0)

Email address
(Mandatory)

1. Please use BLOCK CAPITALS and blue or black ink only. Do not use correction fluid; any amendments should be crossed out and initialled.
2. Any incomplete information will need to be confirmed in writing by the related person(s) once the Administrator has received the form.
3. Correspondence will be sent to the Investor.

2. Related persons details

First person

Relationship with
Investor

Title

Surname

Initials

First names

Former / maiden name

ID number

OR

Passport number

OR

Driving license number

OR

Date of birth

Nationality

Occupation

Initial here

Town of birth	<input type="text"/>		
Country of birth	<input type="text"/>		
Employer (former employer if retired)	<input type="text"/>		
Residential address	<input type="text"/>		
	<input type="text"/>		
Postal code	<input type="text"/>	Country	<input type="text"/>
Correspondence address (if different)	<input type="text"/>		
	<input type="text"/>		
Postal code	<input type="text"/>	Country	<input type="text"/>
Country of tax residence	<input type="text"/>		
TIN (Tax identification number)	<input type="text"/>		
Telephone (home)	+	<input type="text"/> (0) <input type="text"/>	
Telephone (work)	+	<input type="text"/> (0) <input type="text"/>	
Mobile	+	<input type="text"/> (0) <input type="text"/>	
Email address	<input type="text"/>		

Second person (if applicable)

Relationship with Investor	<input type="text"/>		
Title	<input type="text"/>	Surname	<input type="text"/>
Initials	<input type="text"/>	First names	<input type="text"/>
Former / maiden name	<input type="text"/>		
ID number	<input type="text"/>		
OR			
Passport number	<input type="text"/>		
OR			
Driving license number	<input type="text"/>		
OR			
Date of birth	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	Nationality	<input type="text"/>
Occupation	<input type="text"/>		
Town of birth	<input type="text"/>		
Country of birth	<input type="text"/>		

Initial here

Employer (former employer if retired)	<input type="text"/>		
Residential address	<input type="text"/>		
	<input type="text"/>		
Postal code	<input type="text"/>	Country	<input type="text"/>
Correspondence address (if different)	<input type="text"/>		
	<input type="text"/>		
Postal code	<input type="text"/>	Country	<input type="text"/>
Country of tax residence	<input type="text"/>		
TIN (Tax identification number)	<input type="text"/>		
Telephone (home)	+	<input type="text"/> (0) <input type="text"/>	
Telephone (work)	+	<input type="text"/> (0) <input type="text"/>	
Mobile	+	<input type="text"/> (0) <input type="text"/>	
Email address	<input type="text"/>		Initial here <input type="text"/>

3. Data protection

For the purposes of Data Protection and Privacy, the relationship between the Investment Manager and Distributor and the Companies is considered to be that of Joint Data Controllers within the meaning of data protection legislation and each party will hold all personal data in accordance with data protection legislation.

I/We acknowledge that the Joint Data Controllers:

- 3.1 will collect my/our data in various ways, principally:
 - from information I/we provided before becoming a client;
 - on completion of the client application forms;
 - from third parties and publicly available sources;
 - from third party intermediaries, introducers, brokers, agents and representatives acting on my/our behalf; and
 - in other interactions I/we have as a client.
- 3.2 and its delegates or service providers including, but not limited to the Administrator and/or its respective delegates and agents may process my/ our personal data:
 - to operate the Funds, including managing and administering my/our holdings in the relevant Fund on an on-going basis.
 - to comply with all relevant legal, tax or regulatory obligations including laundering and counter-terrorism and tax legislation and fraud prevention in Isle of Man and Ireland.
 - in order to carry out statistical analysis and to perform financial and/or regulatory reporting.
- 3.3 will hold some or all of the following types of Personal Data in relation to me/us;
 - Biographical and contact information
 - Identification and due diligence information
 - Financial and Wealth related information
- 3.4 may share my/our information with other Nedbank Group companies and other third parties including the Company and the Service Providers, my/our advisers, due diligence screening systems, regulatory bodies, taxation authorities, auditors and mailing solution providers.
- 3.5 may transfer and store my/our data to countries outside the European Economic Area, including some that may not have laws that provide the same level of protection for personal information. Where this is the case, additional measures will be implemented to ensure adequate protection of the personal data.

- 3.6 will only retain my/our personal data for as long as it is needed, given the purpose for which it was collected, or as required by law and any other statutory obligations, including anti-money laundering, counter-terrorism, tax legislation. My/our personal data may be retained for a maximum of 6 years from the end of the relationship; however some of the data will be retained for less than this.
- 3.7 is obliged to afford me/us certain rights relating to my/our personal data. This includes requesting details of the personal data held relating to me/us, asking incorrect or incomplete data to be amended, objecting to/or restricting the processing of my/our personal data, and making a complaint.

I/We acknowledge that the full provisions of Data Protection and Privacy are set out the Data Privacy Notice and can be viewed or download at www.nedgroupinvestments.com.

I/We warrant that the particulars provided by me/us are true and complete and hereby indemnify the Company, the Investment Manager and Distributor, the Depositary, the Administrator and the Registrar and Transfer Agent against losses or damage suffered in reliance thereon.

I/We hereby acknowledge and agree to the terms of this Agreement which can be viewed or download at www.nedgroupinvestments.com.

Signed at

Name
(first related person)

Signature Date

Signed at

Name
(first related person)

Signature Date

Nedgroup Investments (IOM) Ltd incorporated in the Isle of Man (Reg no 57917C) is licensed by the Isle of Man Financial Services Authority. The Funds are managed by Carne Global Fund Managers (Ireland) Limited ("the Manager"). The Manager is authorised in Ireland and regulated by the Central Bank of Ireland.

The Representative is Acolin Fund Services AG, Leutschenbachstrasse 50, CH-8050 Zurich, whilst the Paying agent is Banque Heritage SA, Route de Chêne 61, CH-1211 Geneva 6. Nedgroup Investments (IOM) Limited is affiliated to the Swiss ombudsman: Verein Ombudsstelle Finanzdienstleister (OFD), Bleicherweg 10, CH-8002 Zurich.

4. Checklist

Please check you have provided the following documentation.

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Verification of identity for each applicant. Acceptable documents includes copies of the following:

- A current and valid “full” passport bearing your signature and photo
- A national identity document bearing your signature and photo
- A current full driving licence bearing your signature and photo

If a document does not have a copy of your signature, ensure that the certified copy document is signed by the holder(s).

☐

One form of address verification confirming the physical residential address for each applicant must be provided. Acceptable documents must be less than 6 months old and include

- Copy of utility bill
- Copy of bank/credit card statement
- Written confirmation from approved intermediary / reputable financial institution

Documents supplied must be less than six months old

If you have any questions when completing this form please contact the International Client and Broker Services Team:

Tel: +44 (0) 1624 645150

Email: helpdesk@nedgroupinvestments.com

Please send a scanned copy of your completed application form, ID and address verification documents to helpdesk@nedgroupinvestments.com. We will acknowledge receipt. Once the documents have been received we will ask you to send us the original form.